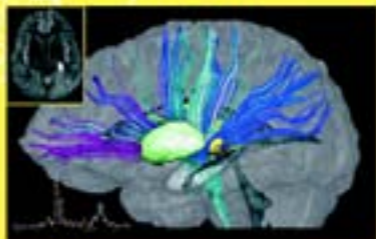
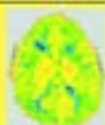
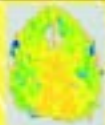


Edited by  
Jonathan Gillard  
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Peter Barker

# Clinical MR Neuroimaging

Diffusion, Perfusion and Spectroscopy



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## **Clinical MR Neuroimaging**

### Diffusion, Perfusion and Spectroscopy

The physiological magnetic resonance (MR) techniques of diffusion imaging, perfusion imaging and spectroscopy offer insights into brain structure, function and metabolism. Until recently, these were mainly applied within the realm of medical research but, with their increasing availability on clinical MR imaging (MRI) machines, they are now entering clinical practice for the evaluation of neuropathology. This book provides the reader with a thorough review of the underlying physical principles of each of these methods, as well as comprehensive coverage of their clinical applications. Topics covered include single- and multiple-voxel MRS techniques; MR perfusion based on both arterial spin labeling and dynamic bolus tracking approaches; and diffusion-weighted imaging, including techniques for mapping brain white-matter fiber bundles. Clinical applications are reviewed in depth for each technique, with case reports included throughout the book. Attention is also drawn to possible artifacts and pitfalls.

*To Susan for making it happen,  
and Charlotte and Emily for making it worthwhile*

JHG

*To Jess, Ella and Danny*

ADW

*To Naomi, Catherine and Stephanie for their  
patience and support during the preparation of this book*

PBB

# Clinical MR Neuroimaging

Diffusion, Perfusion and  
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# Contents

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<i>List of case studies</i>	<i>page</i> viii
<i>List of contributors</i>	x
<i>List of abbreviations</i>	xvii
<i>Foreword</i>	xxiii
Jonathan H. Gillard, Adam D. Waldman and Peter B. Barker	
<b>Introduction</b>	<b>1</b>
R. Nick Bryan	
<b>SECTION 1 PHYSIOLOGICAL MR TECHNIQUES</b>	
<b>1 Fundamentals of MR spectroscopy</b>	<b>7</b>
Peter B. Barker	
<b>2 Quantification and analysis in MR spectroscopy</b>	<b>27</b>
Thomas Ernst	
<b>3 Artifacts and pitfalls in MR spectroscopy</b>	<b>38</b>
Ralph E. Hurd	
<b>4 Fundamentals of diffusion MR imaging</b>	<b>54</b>
Derek K. Jones	
<b>5 MR tractography using diffusion tensor MR imaging</b>	<b>86</b>
Susumu Mori and Peter van Zijl	
<b>6 Artifacts and pitfalls in diffusion MR imaging</b>	<b>99</b>
Martin A. Koch and David G. Norris	
<b>7 Cerebral perfusion imaging by exogenous contrast agents</b>	<b>109</b>
Leif Østergaard	

---

<b>8 MRI detection of regional blood flow using arterial spin labeling</b>	<b>119</b>	<b>21 Perfusion MR imaging in adult neoplasia</b>	<b>329</b>
Alan P. Koretsky, S. Lalith Talagala, Shella Keilholz and Afonso C. Silva		Alan Jackson	
<b>9 Artifacts and pitfalls in perfusion MR imaging</b>	<b>141</b>	<b>SECTION 4 INFECTION, INFLAMMATION AND DEMYELINATION</b>	
Fernando Calamante		<b>22 Physiological imaging in infection, inflammation and demyelination: overview</b>	<b>353</b>
<b>SECTION 2 CEREBROVASCULAR DISEASE</b>		Robert D. Zimmerman	
<b>10 Cerebrovascular disease: overview</b>	<b>163</b>	<b>23 MR spectroscopy in intracranial infection</b>	<b>380</b>
Brian M. Tress		Monika Garg and Rakesh K. Gupta	
<b>11 MR spectroscopy in stroke</b>	<b>168</b>	<b>24 The role of diffusion-weighted imaging in intracranial infection</b>	<b>408</b>
Peter B. Barker and Jonathan H. Gillard		Christopher G. Fillipi	
<b>12 Diffusion and perfusion MR in stroke</b>	<b>182</b>	<b>25 MR spectroscopy in demyelination and inflammation</b>	<b>429</b>
Joanna M. Wardlaw		Gioacchino Tedeschi and Simona Bonavita	
<b>13 Arterial spin labeling perfusion MRI in stroke</b>	<b>207</b>	<b>26 Diffusion imaging in demyelination and inflammation</b>	<b>444</b>
Jiongjiong Wang and John A. Detre		Marco Rovaris and Massimo Filippi	
<b>14 MR diffusion-tensor imaging in stroke</b>	<b>223</b>	<b>27 Physiological MR to evaluate HIV-associated brain disorders</b>	<b>460</b>
Pamela W. Schaefer, Luca Roccatagliata and R. Gilberto Gonzalez		Linda Chang and Thomas Ernst	
<b>15 MR spectroscopy in severe obstructive carotid artery disease</b>	<b>234</b>	<b>SECTION 5 SEIZURE DISORDERS</b>	
Jeroen van der Grond and Dirk R. Rutgers		<b>28 Seizure disorders: overview</b>	<b>481</b>
<b>16 Perfusion and diffusion imaging in chronic carotid disease</b>	<b>246</b>	Thomas R. Henry	
Iain D. Wilkinson		<b>29 MR spectroscopy in seizure disorders</b>	<b>488</b>
<b>17 Imaging migraine pathogenesis</b>	<b>263</b>	Regula S. Briellmann, Mark Wellard and Graeme D. Jackson	
K. Michael Welch		<b>30 Diffusion and perfusion MR imaging in seizure disorders</b>	<b>509</b>
<b>SECTION 3 ADULT NEOPLASIA</b>		Konstantinos Arfanakis and Bruce P. Hermann	
<b>18 Adult neoplasia: overview</b>	<b>279</b>	<b>SECTION 6 PSYCHIATRIC AND NEURODEGENERATIVE DISEASES</b>	
Tom Mikkelsen		<b>31 Psychiatric and neurodegenerative disease: overview</b>	<b>523</b>
<b>19 MR spectroscopy of brain tumors in adults</b>	<b>288</b>	Adam D. Waldman	
Jeffrey R. Alger		<b>32 MR spectroscopy in psychiatry</b>	<b>529</b>
<b>20 Diffusion MR imaging in adult neoplasia</b>	<b>312</b>	John D. Port	
Bradford A. Moffat, Thomas L. Chenevert and Brian D. Ross			



<p><b>33 Diffusion MR imaging in neuropsychiatry and aging</b> <span style="float: right;"><b>558</b></span> Adolf Pfefferbaum and Edith V. Sullivan</p> <p><b>34 MR spectroscopy in aging and dementia</b> <span style="float: right;"><b>579</b></span> Kejal Kantarci and Clifford R. Jack, Jr.</p> <p><b>35 MR spectroscopy in neurodegeneration</b> <span style="float: right;"><b>594</b></span> C.A. Davie</p> <p><b>SECTION 7 TRAUMA</b></p> <p><b>36 Potential role of MR spectroscopy, diffusion-weighted/diffusion-tensor imaging and perfusion-weighted imaging in traumatic brain injury: overview</b> <span style="float: right;"><b>609</b></span> John D. Pickard</p> <p><b>37 MR spectroscopy in traumatic brain injury</b> <span style="float: right;"><b>613</b></span> William M. Brooks</p> <p><b>38 Diffusion- and perfusion-weighted MR imaging in head injury</b> <span style="float: right;"><b>626</b></span> Peter G. Bradley and David K. Menon</p> <p><b>SECTION 8 PEDIATRICS</b></p> <p><b>39 Physiological MR of the pediatric brain: overview</b> <span style="float: right;"><b>647</b></span> Elias R. Melhem and Xavier Golay</p>	<p><b>40 Physiological MRI of normal development and developmental delay</b> <span style="float: right;"><b>674</b></span> A. James Barkovich, Pratik Mukherjee and Daniel B. Vigneron</p> <p><b>41 MR spectroscopy of hypoxic brain injury</b> <span style="float: right;"><b>690</b></span> Brian Ross, Cathleen Enriquez and Alexander Lin</p> <p><b>42 The role of diffusion and perfusion weighted brain imaging in neonatology</b> <span style="float: right;"><b>706</b></span> Mary A. Rutherford and Serena J. Counsell</p> <p><b>43 Physiological MR imaging of pediatric brain tumors</b> <span style="float: right;"><b>722</b></span> Jill V. Hunter</p> <p><b>44 Physiological MRI techniques and pediatric stroke</b> <span style="float: right;"><b>736</b></span> Dawn Saunders, W. Kling Chong and Vijeya Ganesan</p> <p><b>45 MR spectroscopy in pediatric white matter disease</b> <span style="float: right;"><b>755</b></span> Folker Hanefeld, Knut Brockmann and Peter Dechent</p> <p><b>46 MR spectroscopy of inborn errors of metabolism</b> <span style="float: right;"><b>779</b></span> Alberto Bizzi, Marianna Bugiani and Ugo Danesi</p> <p><i>Index</i> <span style="float: right;"><b>805</b></span></p>
--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

# List of Case Studies

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## **Cerebrovascular disease**

11.1	MRSI in acute brain ischemia	181
12.1	Diffusion tensor imaging and tissue anisotropy	204
12.2	Reversal of diffusion lesion in acute stroke	205
12.3	Diffusion and perfusion MR in subarachnoid hemorrhage	206
17.1	Stroke or migraine? An MR perfusion study	275

## **Adult neoplasia**

19.1	Metabolic heterogeneity of glioma	306
19.2	Tumefactive multiple sclerosis – MRSI	308
19.3	MRS in meningioma	309
19.4a	Recurrent astrocytoma	310
19.4b	Radiation necrosis	311
20.1	DWI of epidermoids and arachnoid cysts	326
20.2	Diffusion tensor imaging of glioma infiltration	327
20.3	Differentiating gliomas from metastases with DTI	328
21.1	Anaplastic oligodendroglioma: ASL MR perfusion	349
21.2	Radiation necrosis vs. recurrence	350

**Infection, inflammation and demyelination**

23.1	MRS in variant Creutzfeldt–Jakob disease	407
24.1	West Nile encephalitis	427
24.2	Creutzfeldt–Jacob disease: DWI	428
25.1	Acute disseminated encephalomyelitis	442
25.2	Reversible posterior leukoencephalopathy: MRSI	443
26.1	Tumefactive MS: MR perfusion	459
27.1	Progressive multifocal leukoencephalopathy	478

**Seizure disorders**

29.1	Rasmussen's encephalitis: MRSI	507
29.2	Temporal lobe epilepsy: MRSI	508
30.1	Identifying an epileptic focus with DTI	520

**Psychiatric and neurodegenerative diseases**

34.1	MRS for investigation of Alzheimer's disease	593
35.1	DTI in primary lateral sclerosis	605

**Trauma**

38.1	Diffuse axonal injury	642
38.2	Occult brain damage in a professional boxer	643

**Pediatrics**

41.1	Reye's syndrome: MRSI	704
42.1	Perinatal asphyxic injury	721
43.1	Pediatric astrocytoma	735
44.1	Moyamoya disease: MR perfusion	753
44.2	Sturge–Weber syndrome: MR perfusion imaging	754
45.1	Adrenoleukodystrophy (ALD): MRSI	778
46.1	Mitochondrial encephalopathy, lactic acidosis and stroke like episodes (MELAS)	803

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## List of abbreviations

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AA	Anaplastic astrocytoma
ABC	ATP-binding cassette
ACA	Anterior cerebral artery
Ace	Acetate
ACoM	Anterior communicating artery
AD	Alzheimer's disease
ADC	Apparent diffusion coefficient
ADEM	Acute disseminated encephalomyelitis
ADNFLE	Autosomal-dominant nocturnal frontal lobe epilepsy
ADP	Adenosine diphosphate
AED	Antiepileptic drugs
AFB	Acid fast bacilli
AFP	Adiabatic fast passage
AGAT	L-arginine : glycine amidinotransferase
a-glu	$\alpha$ -glucose
AIDS	Acquired immunodeficiency syndrome
AIF	Arterial input function
Ala	Alanine
ALD	Adrenoleukodystrophy
ALS	Amyotrophic lateral sclerosis
ANE	Acute necrotizing encephalopathy
ASA	Arylsulfatase A
ASL	Arterial spin labeling
ASPA	Aspartoacylase
ATLS	Advanced trauma and life support

ATP	Adenosine triphosphate	CPP	Cerebral perfusion pressure
ATRT	Atypical terato-rhabdoid tumour	Cr	Creatine
AUP	Area under peak	CSD	Cortical spreading depression
AVM	Arteriovenous malformations	CSF	Cerebrospinal fluid
AZT	Azidothymidine	CSI	Chemical shift imaging
BASING	Band-selective inversion with gradient dephasing	CT	Computed tomography
BAT	Bolus arrival times	CTA	Computed tomography angiography
BBB	Blood–brain barrier	CTX	Cerebrotendinous xanthomatosis
BCAA	Branched-chain amino acids	CVR	Cerebrovascular reserve
BCKA	Branched-chain alpha-ketoacid	DAI	Diffuse axonal injury
bFGF	Basic fibroblast growth factor	DANTE	Delays alternating with notations for tailored excitation
b-glu	β-glucose	DAT	Dopamine uptake transporter
BGT	Basal ganglia and thalami	DEHSI	Diffuse excessive high signal intensity
BOLD	Blood oxygen level dependent	DLB	Dementia with Long Bodies
BVR	Basal vein of Rosenthal	DLPFC	Dorsolateral prefrontal cortex
CACH	Childhood ataxia with central hypomyelination	DNA	Deoxyribonucleic acid
CADASIL	Cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy	DNET	Dysembryoblastic neuroepithelial tumour
CASL	Continuous arterial spin labeling	DRCE	Dynamic relaxivity contrast enhanced imaging
CBD	Corticobasal degeneration	DRESS	Depth resolved surface coil spectroscopy
CBF	Cerebral blood flow	DRS	Disability rating scale
CBV	Cerebral blood volume	DRSTOT	Dementia rating scale total score
CE	Carotid endarterectomy	DSA	Digital subtraction angiography
CEA	Carotid endarterectomy	DSCI	Dynamic susceptibility contrast imaging
CHESS	Chemical shift selective water suppression	DSM	Diagnostic and statistical manual of mental disorders
Cho	Choline	DTI	Diffusion tensor imaging
CJD	Creutzfeldt–Jakob disease	DWI	Diffusion-weighted imaging
CM	Chronic migraine	DWIS	Diffusion-weighted imaging spectra
CMRO <sub>2</sub>	Cerebral metabolic rate of oxygen metabolism	DZ	Dizygotic
CNS	Central nervous system	EBV	Epstein–Barr virus
CO	Carbon monoxide	ECG	Electrocardiogram
COSY	Correlation spectroscopy	EDAS	Encephaloduroarteriosynangiosis
		EDE	Epidural empyemas
		EDSS	Expanded disability status scale

EEG	Electroencephalograms	GBM	Glioblastoma multiforme
EES	Extravascular/extracellular space	GCS	Glasgow coma scale
EITB	Enzyme-linked immunotransfer blot	Gd-DTPA	Gadolinium dimeglumine gadopentetate
ELISA	Enzyme linked immunosorbent assay	GE	Gradient echo
EM	Episodic migraine	GFAP	Glial fibrillary acidic protein
EPI	Echo planar imaging	GLD	Globoid cell leukodystrophy (Krabbe disease)
EPISTAR	Echo planar imaging-signal tagging with alternating radio frequency	Gln	Glutamine
FA	Fractional anisotropy	Glu	Glutamate
FACT	Fiber assignment by continuous tracking	Glx	Glutamate and glutamine
FADH	Flavin adenosine dinucleotide	Gly	Glycine
FAIR	Flow-sensitive alternating inversion recovery	GM	Gray matter
FAIRER	Flow-sensitive alternating inversion recovery with an extra radio frequency pulse	GOS	Glasgow outcome score
FASTMAP	Fast automatic shimming technique by mapping long projections	GPC	Glycerophosphocholine
FDA	Food and drug administration	GPE	Glycerophosphoethanolamine
FDG	Fluoro-2-deoxyglucose	GRASS	Gradient recalled echo acquisition at steady state
FEAST	Flow encoding arterial spin tagging	GSS	Gerstmann–Straussler–Scheinker disease
FEMN	“First episode, medication naive (schizophrenia)”	HAART	Highly active antiretroviral therapy
FGF	Fibroblast growth factor	HD	Huntington’s disease
FID	Free induction decay	HIE	Hypoxic ischemic encephalopathy
FLAIR	Fluid attenuated inversion recovery	HIV	Human immunodeficiency virus
fMRI	Functional magnetic resonance imaging	HMPAO	Hexamethylpropyleneamine oxime
FOV	Field of view	HPE	Holoprosencephaly
FSE	Fast spin echo	HS	Hippocampal sclerosis
FT	Fourier transform	HSCT	Hematopoietic stem cell transplantation
FTD	Frontotemporal degeneration	HSE	Herpes simplex encephalitis
FWHM	Full width at half maximum	HSV	Herpes simplex virus
$\gamma$	Gyromagnetic ratios	ICA	Internal carotid artery
GAA	Guanidinoacetate	ICD	International classification of disease
GABA	$\gamma$ -amino-butyric acid	ICP	Intracranial pressure
GALC	Galactocerebroside $\beta$ -galactosidase	ILAE	International league against epilepsy
GAMT	Guanidinoacetate methyl transferase	Ile	Isoleucine
		ISIS	Image selective in vivo spectroscopy
		IPD	Idiopathic Parkinson’s disease
		IVF	Interstitial volume fraction

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IVIM	Intra voxel incoherent motion	MRI	Magnetic resonance imaging
JPA	Juvenile pilocytic astrocytoma	MRS	Magnetic resonance spectroscopy
KD	Krabbe disease	MRSI	Magnetic resonance spectroscopic imaging
KSS	Kearns–Sayre syndrome	MRUI	Magnetic resonance user interface
Lac	Lactate	MS	Multiple sclerosis
LACI	Lacunar infarction	MSA	Multisystem atrophy
LC model	Linear combination model	MSM	Methylsulfonylmethane
Leu	Leucine	MSUD	Maple syrup urine disease
LGN	Lateral geniculate nucleus	MT	Magnetization transfer
LHON	Leber's hereditary optic atrophy	MTC	Magnetization transfer contrast
LI	Lattice index	MTI	Magnetization transfer imaging
LR	Logistic regression	MTR	Magnetization transfer ratio
LS	Leigh syndrome	MTT	Mean transit time
MB	Medulloblastomas	MZ	Monozygotic
MCA	Middle cerebral artery	NAA	<i>N</i> -acetyl aspartate
MCD	Myelinopathia centralis diffusa	NAAG	<i>N</i> -acetyl aspartyl glutamate
MCI	Mild cognitive impairment	NABT	Normal appearing brain tissue
MCMD	Minor cognitive motor disorder	NAGM	Normal appearing gray matter
MEG	Magneto-encephalography	NANA	<i>N</i> -acetylneuraminic acid
MEGA	Mescher–Garwood	NASCET	North American symptomatic carotid endarterectomy trial
MELAS	Mitochondrial encephalopathy with lactic acidosis and stroke	NAWM	Normal appearing white matter
MERRF	Myoclonic epilepsy with ragged red fibers	NBV	Normalized brain volume
mI	myo-inositol	NF	Neurofibromatosis
MITR	Maximal intensity change per time interval ratio	NICE	National Institute of Clinical Excellence
MLC	Megalencephalic leukoencephalopathy with subcortical cysts	NIHSS	National Institute of Health Stroke Scale
MLD	Metachromatic leukodystrophy	NINCDS–ADRDA	National Institute of Neurological and Communicative Disorders and Stroke–Alzheimer's Disease and Related Disorders Association
MMSE	Mini-mental state examination	NKH	Non-ketotic hyperglycinaemia
MPC	Maximum peak concentration	NMR	Nuclear magnetic resonance
MPCSI	Multi planar chemical shift imaging	NOESY	Nuclear overhauser effect
MPRAGE	Magnetization prepared rapid acquisition gradient echo	NOS	Nitric oxide synthase
MR	Magnetic resonance	NTP	Nucleoside triphosphate
MRA	Magnetic resonance angiography		

OCD	Obsessive compulsive disorder	PP	Primary progressive (multiple sclerosis)
OEF	Oxygen extraction fraction	ppm	Parts per million
OHG	Hydroxy glutaric acid	PRESS	Point resolved spectroscopy
OphtA	Ophthalmic artery	PROBE	Proton brain exam
OVS	Outer volume suppression	PROPELLER	Periodically rotated overlapping parallel lines with enhanced reconstruction
OXPHOS	Oxidative phosphorylation	PS	Permeability surface
PAC	Pulmonary artery catheter	PSD	Periodic synchronous discharge
PACE	Prospective acquisition and correction	PSP	Progressive supranuclear palsy
PACI	Partial anterior circulation infarct	PTA	Post traumatic amnesia
PAGM	Periaqueductal gray matter	PTSD	Posttraumatic stress disorder
PASL	Pulsed arterial spin labeling	PVE	Partial volume effect
PC	Phosphocholine	PVL	Periventricular leukomalacia
PCA	Posterior cerebral artery	PWI	Perfusion weighted imaging
PCD	Programmed cell death	QUALY	Quality adjusted life years
PComA	Posterior communicating artery	QUIPSS	Quantitative imaging of perfusion using a single subtraction
PCR	Polymerase chain reaction	QUIPSSII	Quantitative imaging of perfusion using a single subtraction II
PCr	Phosphocreatine	RA	Relative anisotropy
PD	Proton density	RAA	Recently abstinent alcoholics
PDE	Phosphodiester	rCBF	Relative cerebral blood flow
PDGF	Platelet derived growth factor	rCBV	Relative cerebral blood volume
PDS	Paroxysmal depolarization shifts	RF	Radio frequency
PET	Positron emission tomography	RFA	Reduced flip angle
PGSE	Pulsed gradient spin echo	RN	Red nucleus
Phe	Phenylalanine	ROI	Region of interest
Pi	Inorganic phosphate	RPLS	Reversible posterior leukoencephalopathy syndrome
PKU	Phenylketonuria	rR	Relative recirculation
PLIC	Posterior limb of the internal capsule	RR	Relapsing–remitting (multiple sclerosis)
PLP	Proteolipid protein	RT	Radiation therapy
PMD	Pelizaeus–Merzbacher disease	rt-PA	Recombinant tissue plasminogen activator
PME	Phosphomonoester	SAT	Saturation
PML	Progressive multifocal leukoencephalopathy		
PMN	Polymorphonuclear neutrophils		
PNET	Primitive neuroectodermal tumor		
POCI	Posterior circulation infarct		
POI	Pixel of interest		

sCJD	Sporadic Creutzfeldt–Jakob disease	TLE	Temporal lobe epilepsy
SD	Salla disease	TM	Transverse myelitis
SDE	Subdural empyema	TM	Mixing time
SDH	Subdural hemorrhage	Tmax	Time to maximum peak
SDMT	Symbol digit modalities test	TMS	Transcranial magnetic stimulation
SE	Spin echo	TOAST	Trial of Org 10172 in acute stroke treatment
SENSE	Sensitivity encoding	TR	Repetition time
SI	Spectroscopic imaging	TS	Tuberous sclerosis
SIAM	Spectroscopic imaging acquisition mode	TSP	Trimethyl lysyl sodium propionate
SLR	Shinnar–LeRoux	TTFM	Time to first moment
SLS	Sjogren–Larsson syndrome	TTP	Time to peak
SMIT	Na <sup>+</sup> /myo-inositol cotransporter	UNFAIR	Perfusion imaging by un-inverted flow-sensitive alternating inversion recovery
SN	Substantia nigra	USPIO	Ultra small particulates of iron oxide
SNR	Signal-to-noise ratio	VaD	Vascular dementias
SOL	Space occupying lesion	Val	Valine
SP	Secondary progressive (multiple sclerosis)	VC	Visual cortex
SPECT	Single photon emission computed tomography	vCJD	Variant Creutzfeldt–Jakob disease
SPM	Statistical parametric mapping	VEGF	Vascular endothelial growth factor
SRO	Steele–Richardson–Olszewski syndrome	VHL	von Hippel–Lindau syndrome
SSPE	Subacute sclerosing panencephalitis	VLCEFA	Very long chain fatty acids
SSRIs	Selective serotonin reuptake inhibitors	VOI	Volume of interest
STEAM	Stimulated echo acquisition mode	VSS	Very selective saturation
Suc	Succinate	VWM	Vanishing white matter disease
SVD	Singular value decomposition	WET	Water suppression enhanced through T1 effects
T <sub>2</sub> W	T <sub>2</sub> -weighted	WHO	World Health Organization
TACI	Total anterior circulation infarct	WI	Weighted images
TB	Tuberculosis	WM	White matter
TBI	Traumatic brain injury	WMH	White matter hyperintensities
TCA	Tricarboxylic acid	x-ALD	x linked adrenoleukodystrophy
TCD	Transcranial Doppler sonography	Xe-CT	Xenon-enhanced computed tomography
TDL	Tumefactive demyelinating lesions	ZDV	Zidovudine
TE	Echo time		
TI	Inversion time		
TIA	Transient ischemic attack		



## Foreword

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The advent of clinical MR imaging (MRI) in the 1980s heralded a new era in the ability to image the brain *in vivo*. MRI allows the detailed depiction of brain anatomy and pathology with unprecedented spatial resolution and soft-tissue contrast. It is also relatively safe and completely non-invasive. Nevertheless, the sensitivity and specificity with which structural MRI alone can define the wide range of neurological disease is limited.

The last decade has also seen the development of *physiological* MR techniques, whereby information concerning tissue *function* as well as structure is obtained. These techniques include diffusion, perfusion, and MR spectroscopy, which provide information on tissue ultra-structure, blood flow, and biochemistry, respectively. Information of this type supplements and complements that from clinical or structural imaging investigations, often providing important surrogate markers of disease pathophysiology or therapeutic response.

These techniques, previously only available in a research environment, are now accessible on most MR systems and can readily be incorporated into clinical imaging examinations. To date, however, there has been a paucity of literature in a single volume to support those wishing to apply physiological imaging studies in a clinical context. The aim of this book is to address the appropriate clinical application and interpretation of diffusion, perfusion, and spectroscopy.

The first section of the book describes the physical principles underlying each technique, as well as the potential associated artifacts and pitfalls.

The second section addresses applications in the different branches of clinical neuroscience. Chapters are grouped according to pathology, and are preceded by overviews that aim to place these methodologies in a broader clinical perspective. Illustrative case reports are included throughout the book.

We recognize that the term “functional MRI” (fMRI) has become synonymous with studies of localized brain activation, mostly using “blood oxygen level-dependent” (BOLD) contrast. This approach, which continues to contribute to the understanding of the relationship between brain structure and function, is well covered in other texts and is not addressed in this volume. Likewise, magnetization transfer imaging, and methods for post-processing structural data, for example volumetric analysis, or MRI relaxometry, are not included. While these techniques are the subject of much research effort, they are not

widely available at the time of writing, and have yet to find a definitive clinical role.

The aim of this book is to create a reference work for those techniques that can be widely applied, not just at academic medical centers. Currently, diffusion, perfusion, and spectroscopy are the physiological techniques most likely to be used routinely. Our hope is that this book will provide a balanced reference work for physiological MRI in real clinical practice. The overall aim is to optimize the use of these techniques to increase the sensitivity and specificity of the MR imaging examination, and thereby improve the management of individual patients.

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# Introduction

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The last several decades have seen remarkable advances in the clinical neurosciences with some of the most remarkable achievements related to neuroimaging. Given the current depth of knowledge about the brain, it is difficult to appreciate that barely 300 years ago this organ was almost a complete mystery, particularly as to its function. While the brain has been recognized as an “organ” since antiquity, no functional role was ascribed to it until the early 1600s when Descartes placed the “soul” in one of its small parts, the pineal gland (Marshall and Magoun, 1998). Prior to this intriguing, but erroneous concept, much more functional importance had been attributed to the fluid in the ventricles than the brain itself. Descartes’ non-scientific attribution was, fortunately, quickly followed by the much more rigorous description of the structure of the brain by Thomas Willis (1664). While Willis’ application of the scientific method to the brain was seminal, the primitive scientific tools available at the time limited his direct observations to anatomy, which in and of itself does not convey function. Despite little direct evidence, Willis began to argue that mental functions reside in the brain, as do certain diseases such as epilepsy. The scientific tools necessary to prove his assertions by actual observation of physiology, molecular biology, and other “functional” aspects of the brain were still several centuries away.

However, the brain was found to have a peculiarly strong correlation between structure (anatomy) and function (behavior). This intimate relationship provided the basis for the still robust field of “experimental” neuroanatomy. Experimental neuroanatomy, such as the destruction of a portion of the brain in an animal followed by observations of its behavior

allowed 18th and early 19th Century scientists such as Gall and Rolando to make structure/function correlations that documented the brain as a central control organ (Marshall and Magoun, 1998). Since it has never been appropriate to perform debilitating experiments on human beings, many fundamental questions pertaining to human brain function persisted until the “natural science” version of experimental neuroanatomy was introduced by clinicians such as Morgagni, who attributed neurological deficits such as hemiparesis to grossly destructive lesions of patients’ brains found at autopsy (Morgagni, 1760). Broca, in 1860, applied such lesion/deficit correlation to a patient who had suffered the acute onset of aphasia and whose brain at autopsy revealed an infarct in the right frontal operculum, thus localizing a component of speech to a particular cortical region (Broca, 1861). Such “dysfunctional” imaging was subsequently employed by many clinical scientists, particularly those 19th and early 20th Century neurologists whose names are attached to so many neurological syndromes. While lesion/deficit correlation has been a very informative means of studying the brain, it is limited by its anatomic basis that does not provide any direct information about the brain’s physiology or molecular makeup.

Note that all of these early methods of studying the brain involved some form of imaging. Given the spatially heterogeneous nature of the brain (both structurally and functionally), imaging of the brain is an absolute necessity in order to document the location of an experimental or natural lesion. Only with this anatomic information could the observed neurological, psychological, or cognitive dysfunction be linked to its physical source. In human

beings these types of investigations were severely restricted by the unfortunate necessity of a patient having to suffer an insult to the brain and the additional burden that the patient either die and have permitted an autopsy or submit to a craniotomy. These were until very recently the only means of directly documenting the presence and extent of a brain lesion.

Despite the many drawbacks, experimental anatomy and clinical lesion/deficit research in the first half of the 20th Century provided the basis of much of our current understanding of functional localization in the brain. During the second half of the 20th Century, these early, primitive, but informative techniques were increasingly supplemented by sophisticated histological, neurophysiological, and molecular biological techniques that have combined to yield the great depth of knowledge about the brain that we now have; knowledge that extends from single cell events to highly integrated cognitive functions. However, many of these newer techniques also have restrictions to their applications in human beings, particularly intact, functioning human beings. Histological techniques require tissue, never easily obtained from human brain and almost never from multiple or large regions. Many neurophysiological techniques require intrusion into the brain, as for electrode recordings or cortical stimulation. Molecular techniques are seldom feasible in intact functioning brain. While these powerful techniques provide extraordinarily detailed information about small parts of the brain, none provide data from the entire, functioning brain. This is a significant limitation as many functions of the brain involve composite actions of its many spatially, physiologically, and biochemically disparate components. This is particularly true of complex behavioral tasks and cognition. The spatial heterogeneity of the brain has always begged for imaging of the whole organ, preferably in the intact, functioning state. This has not been feasible until very recently.

In 1974 clinical neuroscience experienced a profound change with the invention of the X-ray computed tomography (CT) scanner, an instrument that for the first time could non-invasively produce images of the whole, living human brain (Hounsfield, 1973). CT scans are based on electron density and there are only subtle differences of this parameter in

the brain. For instance, the electron density of gray matter (GM) and white matter (WM) differ by only 0.5%. Hence, clinical CT scans yield relatively crude images of the brain. While CT scanners can only image anatomy at a relatively low resolution it has allowed the traditional lesion/deficit methodology to be applied to living subjects contemporaneously with functional examinations. Autopsy and craniotomy are no longer necessary to demonstrate the anatomical correlates of functional deficits and the literature has become replete with lesion/deficit studies expanding our knowledge of how the function of the human brain is spatially distributed. Investigators such as the Damasio's have used clinical CT, and later magnetic resonance (MR) scans of hundreds of neurologically, psychologically, and cognitively impaired subjects to better demonstrate the anatomic substrate of higher order mental tasks (Damasio and Damasio, 1989). However these images still show only static anatomy and do not reflect any physiological or molecular aspect of the brain. Indeed it can be difficult to tell a conventional CT or MR scan of a cadaver's brain from that of a normal person. While we now understand many strong relationships between the gross structure and function of the brain, there remains the overpowering need to be able to directly "see" physiological and molecular function of the brain. After all, it is more important to know what the brain is doing than what it looks like!

This need was initially met by the combination of positron emission tomography (PET) and metabolic radio tracers such as  $F^{18}DG$ ,  $H_2O^{15}$ , and  $CO^{15}$  (Fox *et al.*, 1988). PET methodology allows non-invasive imaging of the whole brain under resting as well as task conditions. Physiological parameters, such as cerebral blood flow (CBF) can be imaged non-invasively in the clinical environment, as can responses of these parameters to activation of the brain by a task – direct imaging of dynamic brain physiology. In addition, radio ligands have been developed that produce images of the distribution of specific molecules in the brain, such as components of neurotransmitter systems. This methodology remains a powerful research tool, albeit expensive and logistically challenging.

As a result of these advances, the 20th Century progressed from very limited, invasive anatomic

imaging of a poorly understood human brain to widely applied, non-invasive, dynamic physiological and biochemical imaging of a richly appreciated organ. Continuing advances in neuroimaging will offer ever more information about the brain and its function.

This book focuses on the important evolving methodology of MR imaging (MRI), specifically physiological MRI of the brain. MRI derived from nuclear MR (NMR), a physical phenomenon related to the behavior of nuclei in the presence of a magnetic field that was described by Felix Bloch, Hansen and Packard (1946). During the 1940s and 1950s many investigators developed techniques that allowed this physical phenomenon to be exploited for the study of chemical structure. Since the introduction of the Fourier transform (FT) technique by Ernst in 1966 and the development of high-field superconducting magnets, NMR has been able to elucidate the detailed chemical structure of even large molecules such as proteins (Ernst and Anderson, 1966). The addition of magnetic field gradients to the requisite static magnetic field of NMR can spatially define a sample, allowing MRI. This concept of the use of magnetic field gradients to generate images was first demonstrated in the landmark 1973 paper by Lauterbur; in 1976, Ernst introduced the principle of two-dimensional FT NMR which is now almost universally used for all MRI (Lauterbur, 1973; Aue *et al.*, 1976).

Conventional MRI relies on radio signals emitted by nuclei of molecules, particularly H<sub>2</sub>O, of relatively stationary tissue. Because of their different water content and relaxation times, there is typically more than 20% difference in this signal between GM and WM. Similar differences can be found between certain pathological tissues and normal brain. This accounts for the exquisite images of normal neuroanatomy or multiple sclerosis (MS) plaques produced by contemporary MRI. The first decade of clinical MRI was characterized by steady improvements in the morphological imaging capabilities of this quite remarkable and completely non-invasive and safe technology. However, there is little useful physiological information in conventional MRI signal, except for that related to fast-flowing fluids such as blood. Recent MRI advances have focused on the development and application of molecular and physiological

imaging capabilities. These new MRI methods are the subject of this volume and reflect the continuing evolution from purely anatomic to physiological and molecular imaging of the brain.

The three main physiological MR methods to be presented are MR spectroscopy (MRS), diffusion, and perfusion MRI. MRS yields images of the distribution and concentration of naturally occurring molecules such as *N*-acetyl aspartate (NAA) (one of the most abundant amino acids in the brain, and believed to be localized predominantly in neurons and their processes), choline (Cho) (a key constituent of cell membranes) and lactate (Lac) (a reflection of anaerobic metabolism). Diffusion MRI demonstrates regions of normal and pathological micro-molecular motion. Under appropriate conditions, these images can reflect patterns of axonal anatomy and when applied as “fiber tracking” this technique can turn the large homogeneously bland regions of WM of conventional MRI into dramatic three-dimensional displays of the major axonal pathways. Using extrinsic contrast agents or intrinsic contrast agents, such as blood, perfusion MRI cannot only create qualitative, but quantitative maps of various perfusion parameters, including CBF, cerebral blood volume (CBV), and vascular permeability. With these techniques, at last, neuroscientists can painlessly, non-invasively, and safely study important physiological properties of a whole, living, functioning human brain. One can now actually see what the brain is doing, not just what it looks like.

The clinical value of these physiological and molecular tools is becoming increasingly appreciated and can be illustrated by their applications to one disease – cerebral ischemia and stroke. Lac is an important metabolic molecule of which little is produced by the brain under aerobic conditions. However, under anaerobic conditions, such as ischemia, abundant Lac may be produced and is easily detected by proton MRS (Barker *et al.*, 1994). The imaging of Lac by MRS is one of the most sensitive means of detecting even mild cerebral ischemia, its presence temporally preceding irreversible ischemia and stroke. Diffusion MRI is also very sensitive to ischemia, presumably because there is a shift of extracellular water molecules into the intracellular compartment where molecular diffusion is more

restricted (Le Bihan *et al.*, 1986). Even if this theory is not correct, empirically it is well established that diffusion weighted images show some of the earliest changes of stroke and severe ischemia. It almost goes without saying that perfusion imaging is a powerful tool for evaluating cerebral ischemia. Perfusion MRI can easily, directly, and accurately document the reduction of CBF secondary to obstructive or non-obstructive cerebral ischemia as well as demonstrate changes in CBV that often provide additional information as to the physiological severity of the insult (Rempp *et al.*, 1994). Such physiological tools are increasingly necessary for the management of acute cerebral ischemia when the traditional anatomic diagnosis of “live brain/dead brain” is not adequate for directing vascular or neuroprotective treatment.

The authors of the chapters of this book describe the latest physiological MRI methodologies in detail and then illustrate their applications to major diseases of the brain, including cerebrovascular and degenerative diseases, neoplasia, inflammation, trauma, and even psychiatric disorders. These new techniques of the early 21st Century foreshadow even more remarkable advances in neuroimaging, but first, please appreciate the robust functional imaging capabilities so well described and illustrated in this volume.

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## **Section 1**

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### **Physiological MR techniques**





# Fundamentals of MR spectroscopy

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## Introduction

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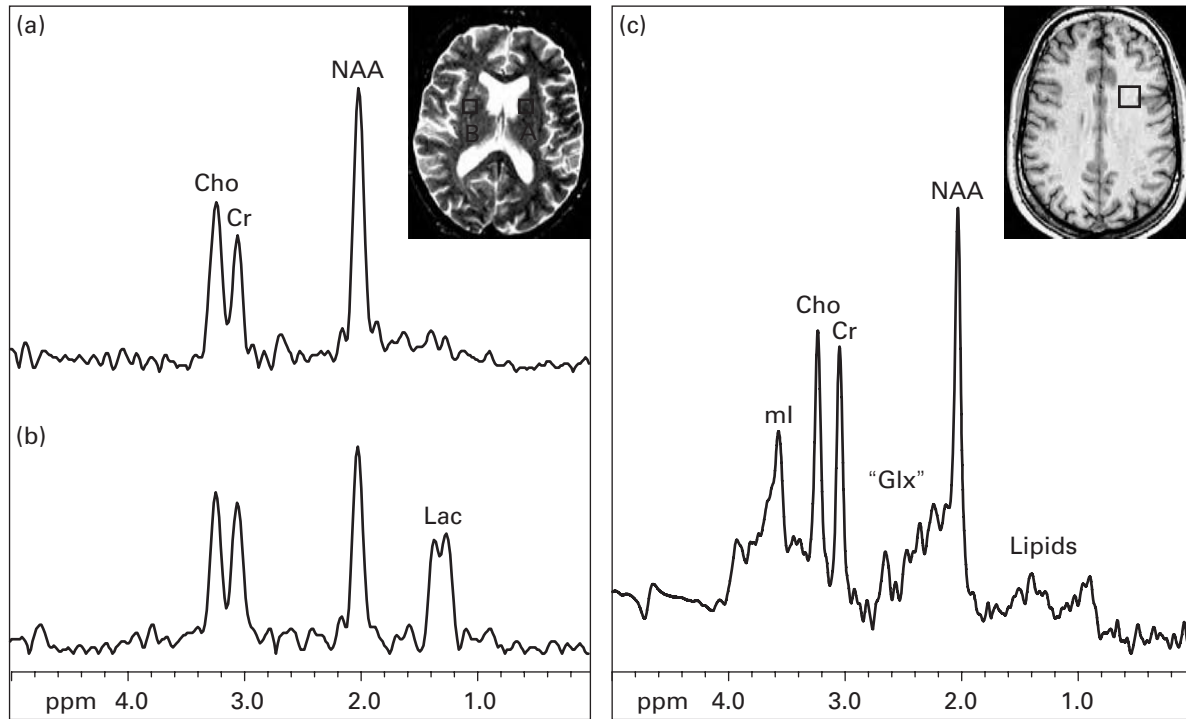
Nuclear MR (NMR) spectroscopy in bulk matter was demonstrated for the first time in 1945 when Bloch and Purcell independently demonstrated that a strong magnetic field induced splitting of the energy levels and detected the resonance phenomena (Bloch, 1946; Purcell *et al.*, 1946). The method was originally of interest only to physicists for the measurement of gyromagnetic ratios ( $\gamma$ ) of different nuclei, a constant specific to a particular nucleus, but applications of NMR to chemistry became apparent after the discovery of chemical shift and spin-spin coupling effects in 1950 and 1951, respectively (Proctor and Yu, 1950; Gutowsky *et al.*, 1951). The spectra of high-resolution liquid NMR contain fine structure information because the nuclear resonance frequency is influenced by both neighboring nuclei and the chemical environment which allows information on the structure of the molecule to be deduced. Hence, NMR spectroscopy rapidly became an important, and widely used, technique for chemical analysis and structure elucidation of chemical and biological compounds.

Major technical advances in the 1960s included the introduction of superconducting magnets (1965), which were very stable and allowed higher field strengths than with conventional electromagnets to be attained, and in 1966 the use of the Fourier transform (FT) for signal processing. In nearly all contemporary spectrometers, the sample is subjected to periodic radio frequency (RF) pulses directed perpendicular to the external field and the signal is Fourier transformed to give a spectrum in the frequency domain. FT NMR provides increased

sensitivity compared to previous techniques, and also led to the development of a huge variety of pulsed NMR methods, including multi-dimensional NMR techniques.

Biological and medical applications of MR were developed in the early 1970s with the introduction of MR imaging (MRI) and MR spectroscopy (MRS) of biological tissue. In vivo MRS of humans became possible in the early 1980s with the advent of whole body magnets with sufficiently high field strength and homogeneity (Radda, 1986). Early studies focused on the phosphorus nucleus, since this was the most technically feasible at that time. Methods were developed for spatially localized  $^{31}\text{P}$  MRS (Luyten *et al.*, 1989), and studies of major neuropathology (such as stroke or brain tumors) were performed (Arnold *et al.*, 1989; Cadoux *et al.*, 1989; Levine *et al.*, 1992). A significant problem with  $^{31}\text{P}$  MRS, however, is its low sensitivity (mainly because of the relatively low  $\gamma$  of  $^{31}\text{P}$ , and low concentrations of phosphorus containing compounds). Since the spatial resolution in in vivo spectroscopy is largely limited by the signal-to-noise ratio (SNR) the minimum voxel size for  $^{31}\text{P}$  spectroscopy of the human brain is typically  $30\text{ cm}^3$  using conventional techniques and 1.5 T magnets. The technique can therefore only be applied to either very large lesions, diffuse or global diseases.

In recent years, there has been more interest in proton MRS, particularly after it was demonstrated that it was possible to obtain high-resolution spectra from small, well-defined regions in reasonably short scan times (Frahm *et al.*, 1989). The higher sensitivity of the proton is due to several factors, including higher  $\gamma$ , higher metabolite concentrations, and



**Fig. 1.1** Proton spectra of the human brain recorded at both (a, b) long (TE 272) and (c) short (TE 35 ms) TEs, in the long TE spectra from a patient with an acute right middle cerebral artery (MCA) stroke, the normal spectrum (a) from the left hemisphere shows signals from Choline (Cho), Creatine (Cr) and N-acetyl aspartate (NAA). In the ischemic left hemisphere (b) an additional signal due to Lactate (Lac) is apparent as well as a moderate decrease in NAA. In the short TE spectrum of normal frontal WM (c), in addition to NAA, Cr and Cho, signals can be detected from myo-inositol (mI), Glutamate and Glutamine (Glx), and lipids. (a) and (b) are from a multi-slice MR spectroscopic imaging (MRSI) data set (nominal voxel size  $0.8 \text{ cm}^3$ ), while (c) is recorded from an  $8 \text{ cm}^3$  single voxel using the Point resolved spectroscopy (PRESS) sequence.

more favorable relaxation times. Although proton spectroscopy has been demonstrated in a number of organ systems (in particular, recent studies show promise for the use of proton spectroscopy in the diagnosis of prostate and breast cancer), the overwhelming number of applications have been in the brain, because of the absence of free lipid signals in normal cerebrum, relative ease of shimming, and lack of motion artifacts. The proton is also a widely used nucleus because it is the same nucleus used for conventional MRI, and therefore it is usually possible to perform proton MRS on most 1.5 T or higher clinical MRI machines without the need to purchase additional scanner hardware or modifications.

NMR spectroscopy can in fact be performed with many different nuclei, and in the brain, in addition to  $^1\text{H}$  and  $^{31}\text{P}$ , there have been reports of spectroscopy of deuterium ( $^2\text{D}$ ), carbon-13, nitrogen-15, lithium-7, sodium-23, and fluorine-19, using either

signals from endogenous nuclei and/or compounds, or via the administration of (sometimes isotopically enriched) exogenous substances. All of these studies fall into the context of advanced research at the current time, and therefore will not be considered further here. This chapter focuses on the information content of proton MR spectra of the brain, technical issues such as choice of localization technique, and normal age-related and anatomical variations.

### Information content of proton MR spectra of the brain

Figure 1.1 shows examples of proton spectra recorded at long and short echo times (TEs). The assignment and significance of each the resonances in the spectrum is discussed below, and summarized in Table 1.1.

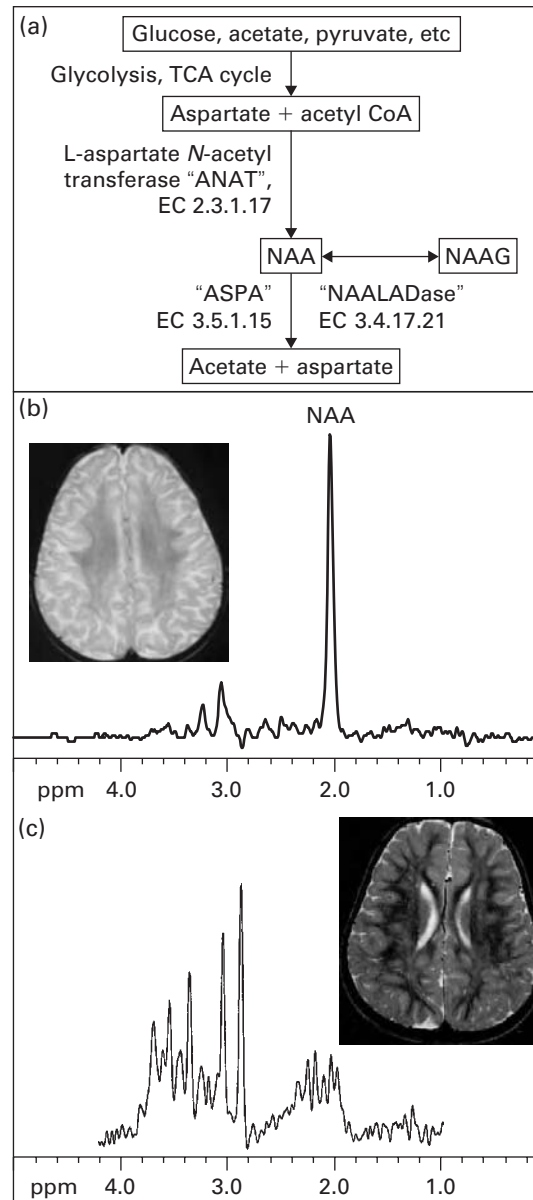
**Table 1.1. Metabolites detected in the brain by proton MR spectroscopy**

Metabolite	Chemical shift normal concentration median (range)	Physiological significance	Increased	Decreased
<i>NAA</i> (NAA, other <i>N</i> -acetyl moieties)	2.02 ppm 7.8 mM (6.5–9.7)	Health neuronal cell marker. Only seen in nervous tissue. Exact physiological role uncertain.	v.rarely Canavan's disease	Commonly: non-specific neuronal loss or dysfunction due to range of insults. Incl. Ischaemia, trauma, inflammation, infection, tumors, dementia, gliosis.
<i>Cho</i> Cho-containing compounds	3.2 ppm 1.3 mM (0.8–1.6)	Detectable resonance is predominantly free Cho and derivatives. Marker of membrane turnover. Higher in W.M. than G.M. Increase with age.	Tumors, inflammation, chronic hypoxia,	Stroke, encephalopathy (hepatic human immunodeficiency virus (HIV)/liver disease.
<i>Cr</i> Creatine/ phosphocreatine	3.0 ppm 4.5 mM (3.4–5.5)	Compounds related to energy storage; thought to be marker of energetic status of cells. Other metabolites are frequently expressed as ratio to Cr. Low in infants. Increases with age.	Trauma, hypersomolar states	Hypoxia, stroke, tumors
<i>Myo</i> Myo-inositol (ml) (other inositols)	3.56 ppm (short TE only) 3.8 mM (2.2–6.8)	Pentose sugar. Involved in inositol triphosphate intra-cellular second messenger cycle, osmolyte, glial cell marker. High in infants.	Neonates, Alzheimer's disease, diabetes, recovered encephalopathy, low grade glioma, hypersomolar	Malignant tumors, Chronic hepatic encephalopathy, stroke
<i>Glx</i> Glutamate (Glu)/ Glutamine(Gln)	2.1–2.4 ppm (short TE only) Glu ~ 10 mM Gln ~ 5 mM	Complex overlapping J-coupled resonances difficult to separate and quantify at clinical field strengths (1.5–3 T). Amino acid neurotransmitters Glu excitatory, Gln inhibitory.	Hepatic encephalopathy, severe hypoxia, OTC deficiency	Possibly Alzheimer's disease
<i>Lactate</i>	1.35 ppm (doublet, 7 ppm separation) Detectable >~1 mM	Not seen in normal brain. End product of anaerobic respiration. May be energetic substrate of much brain metabolism. Thought to be elevated in foamy macrophages.	Ischaemia, inborn errors of metabolism (especially respiratory chain defects, tumors (all grades)), abscesses, inflammation.	
<i>Lipids</i> Mobile liquid moieties	0.9 and 1.3 ppm (short TE unless(↑↑))	Not seen in normal brain. Membrane breakdown/lipid droplet formation. May precede histological necrosis. Products of bacterial metabolism.	High-grade tumors, abscesses, acute inflammation, acute stroke.	
Succinate, acetate, amino acids Acetoacetate, acetone	Various Not normally detectable		Pyogenic abscesses. Alanine: meningiomas Inborn errors of metabolism	
Mannitol, ethanol	Various	Intermediary metabolites only pathologically elevated in inborn errors. Administered drugs and other substances		

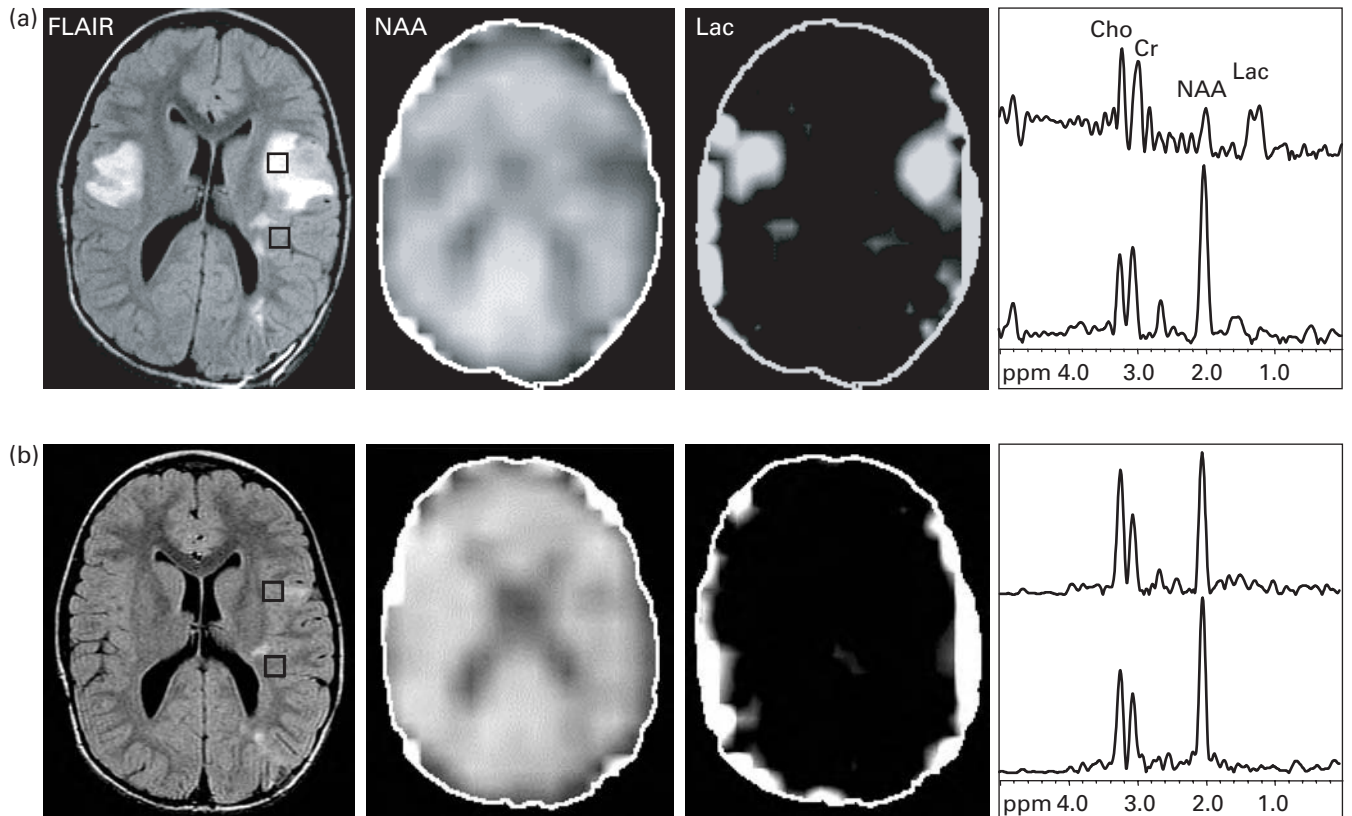
### N-acetyl aspartate

The largest metabolite signal, resonating at 2.02 ppm, occurs from the *N*-acetyl group of *N*-acetyl aspartate (NAA), with perhaps a small contribution from *N*-acetyl aspartyl-glutamate (NAAG) (Frahm *et al.*, 1991). Despite being one of the most abundant amino acids in the central nervous system (CNS), NAA was not discovered until 1956 and its function has been the subject of considerable debate. It has been speculated to be a source of acetyl groups for lipid synthesis, a regulator of protein synthesis, a storage form of acetyl-CoA or aspartate, a breakdown product of NAAG, a “molecular water pump”, or an osmolyte (Barker, 2001). Using immunocytochemical techniques, NAA has been shown to be predominantly localized to neurons, axons, and dendrites within the central nervous system (Simmons *et al.*, 1991), and studies of diseases known to involve neuronal and/or axonal loss (e.g. infarcts, brain tumors, seizure foci, multiple sclerosis (MS) plaques) have uniformly shown NAA to be decreased. In pathologies, such as MS, correlations between brain levels and clinical measures of disability have been shown (De Stefano *et al.*, 2001). Animal models of chronic neuronal injury have also been shown to give good correlations between NAA levels (as measured by MRS) and *in vitro* measures of neuronal survival (Simmons *et al.*, 1991; Guimaraes *et al.*, 1995).

For all these reasons, it has been tempting to “label” NAA as a neuronal marker, and to equate levels of NAA with neuronal density. However, there is increasing evidence that this may not be the case. NAA has been detected in non-neuronal cell types, such as mast cells or isolated oligodendrocyte preparations, suggesting that NAA may not be specific for neuronal processes (Urenjak *et al.*, 1992; Burlina *et al.*, 1997, Bhakoo and Pearce, 2000), although it is not completely clear if these cells are present in the brain or high concentrations, or if their metabolism is identical, *in vivo*. It is also well known that there are exceptions to the correlation between neuronal density and NAA levels. For instance, the pediatric leukoencephalopathy (Canavan’s disease) is associated with a large elevation of intracellular NAA, owing to deficiency of aspartoacylase (ASPA), the enzyme that degrades NAA to acetate and aspartate (Figure 1.2) (Barker *et al.*, 1992).



**Fig. 1.2** (a) Some biochemical pathways involving NAA, and (b, c) pathological processes involving NAA metabolism. (b) Long TE (270 ms) proton spectra of the frontal WM in a child with Canavan’s disease, showing a high ratio of NAA/Cr (and NAA to other metabolites) due to the lack of the enzyme ASPA which degrades NAA.  $T_2$ -weighted MRI shows a near complete lack of myelination. (c) A 3-year old boy with mental retardation and complete absence of NAA on brain MRS (short TE). MRI is only mildly abnormal, while other metabolites in the spectrum are also in the normal range. A deficit in the NAA synthetic pathway was suspected, but not proven. Reproduced with permission from Martin *et al.* (2001).



**Fig. 1.3** An example of a reversible reduction in NAA in a 6-year old child with ADEM. (a) 36 days after symptom onset, FLAIR MRI shows multiple, bilateral lesions which are characterized by reduced levels of NAA and increased Lac. Cho and Cr are within the normal range. (b) At day 137 after steroid treatment, the lesions have nearly resolved, and the spectra are more normal, in particular NAA has partially recovered and Lac is now undetectable.

In addition, there has been one remarkable case report of a young boy with mental retardation with an apparently global complete absence of NAA (Figure 1.2) (Martin *et al.*, 2001). Clearly, in these subjects, the high levels or absent of NAA do not reflect changes in neuronal density, but rather a perturbation of the synthetic and degradation pathways of NAA metabolism (Figure 1.2). Further examples of the lack of direct correlation of NAA and neuronal density are various pathologies which have shown either spontaneous or treatment-related reversals of NAA decreases. Some examples include MS, mitochondrial diseases, acquired immunodeficiency syndrome (AIDS), temporal lobe epilepsy (TLE), amyotrophic lateral sclerosis (ALS) or acute disseminated encephalomyelitis (ADEM) (Bizzi *et al.*, 2001; Barker, 2001) (Figure 1.3). Evidently, NAA does not appear to be essential for neuronal function.

How should changes (in most instances, decreases) in NAA be interpreted? It should be recognized that the macroscopic concentration of NAA (like that of any neurochemical) depends on the fluxes of synthetic and degradation pathways, cellular density, and brain water content and distribution. Sometimes, a decrease in NAA may be solely or largely attributable simply to increased extracellular water or cerebrospinal fluid (CSF) content within the localized MRS volume, although these factors can be corrected with appropriate analysis techniques (cf. Chapter 2). Neuronal and axonal dysfunction or loss should be considered when the tissue NAA content is reduced, because the balance of evidence suggests that the majority of NAA is located within neuronal processes. Whether the reduction represents an irreversible loss of cells or a potentially reversible

metabolic process will in large part depend on the individual pathology in which it is encountered, and the prognosis for recovery of brain function is presumably also variable. In certain types of lesions (e.g. chronic infarction, brain tumors), it appears likely that in NAA do indeed correspond to irreversible neuronal loss. Overall, non-invasive MRS measurements of NAA appear to be one of the best surrogate markers currently available for neuronal integrity. However, it should be kept in mind that in some pathologies, NAA levels may vary independent of the state of the health and number of neurons.

### Choline

The “choline” signal (Cho, 3.24 ppm) arises from the  $-N(CH_3)_3$  groups of glycerophosphocholine (GPC), phosphocholine (PC), and a small amount of free Cho, compounds which are involved in membrane synthesis and degradation. Both increases and decreases in Cho have been reported in pathological conditions: processes leading to elevation of Cho signal include active demyelination (Davie *et al.*, 1993), resulting from the degradation of myelin phospholipids primarily to GPC, or increased numbers of glial cells (Gill *et al.*, 1989, 1990). Low Cho has been observed in hepatic encephalopathy (Kreis *et al.*, 1992a), and there is also some evidence to suggest that dietary intake of Cho can modulate cerebral Cho levels (Stoll *et al.*, 1995). Elevated Cho levels seem to be a characteristic of many types of neoplasms, including high-grade brain tumors (provided that they are not necrotic), prostate, breast, head and neck, and others.

### Creatine

The “creatine” signal (Cr, 3.02 ppm) is a composite peak consisting of Cr and phosphocreatine, compounds which are involved in energy metabolism via the Cr kinase reaction generating ATP. Since Cr is synthesized in liver, chronic liver disease leads to lower cerebral Cr concentration (Ross and Michaelis, 1994a). There is also a rare group of diseases which involve total Cr deficiency in the brain, resulting from either lack of synthesis in the liver (guanidinoacetate methyl transferase (GAMT)

deficiency) or defective transport to the brain (Stockler *et al.*, 1994; Cecil *et al.*, 2001; Bizzi *et al.*, 2002). In vitro, glial cells contain a two- to four-fold higher concentration of Cr than do neurons (Urenjak *et al.*, 1993), although curiously white matter (WM) Cr levels are lower than those of gray matter (GM) in the normal brain.

It has been suggested that the sum of Cr and phosphocreatine is relatively constant in the human brain, and for this reason Cr is often used as a reference signal, and it is a common practice for metabolite ratios to be expressed as a ratio relative to Cr. However, with the development of quantitative analysis techniques, it is clear that total Cr is not constant, both in different brain regions and in pathological processes, so the assumption of Cr as an invariate reference signal should be used with caution. Absolute metabolite quantification techniques are discussed in detail in Chapter 2.

### Lactate

In normal human brain, lactate (Lac, 1.33 ppm) is below (or at the limit of) detectability in most studies. Any detectable increase in Lac can therefore be considered abnormal, except perhaps in CSF where it may be detectable at a low level in normal subjects with prominent ventricles. Increased Lac is usually the result of deranged energy metabolism, and has been observed in ischemia (both acute (highest) and chronic (Petroff *et al.*, 1992; Barker *et al.*, 1994)), brain tumors (Alger *et al.*, 1990), mitochondrial diseases (Mathews *et al.*, 1993), and other conditions. Small elevations of Lac have also been reported in the visual cortex (VC) during photic stimulation (Prichard *et al.*, 1991), believed to be due to increased non-oxidative glycolysis, but this effect does not appear to be particularly reproducible (Merboldt *et al.*, 1992).

### Myo-inositol

At short TEs, additional compounds are detected which are not visible at long TEs, either because of short  $T_2$  relaxation times and/or the dephasing effects of J-coupling (Figure 1.1(c)). One of the largest signals occurs from myo-inositol (mI) at

3.56 ppm. mI is a pentose sugar, which is part of the inositol triphosphate intracellular second messenger system. Levels have been found to be reduced in hepatic encephalopathy (Ross *et al.*, 1994b), and increased in Alzheimer's dementia (Shonk *et al.*, 1995) and demyelinating diseases (Kruse *et al.*, 1993). The exact pathophysiological significance of alterations in mI is uncertain. A leading hypothesis is that elevated mI reflects increased populations of glial cells which are known to express higher levels of this metabolite than neurons (Brand *et al.*, 1993; Fogel *et al.*, 1994); this may be related to differences in mI/Na co-transporter activity which appears to play a key role in astrocyte osmoregulation (Strange *et al.*, 1994). This would explain chronic disturbance in mI both in degenerative and inflammatory disease, and transiently in hypo- and hyper-osmolar states.

### Glutamate and glutamine

Glutamate (Glu) and glutamine (Gln) are difficult to separate in proton spectra at 1.5 T (and are often labeled as a composite peak glutamine and glutamate Glx), although some authors have attempted to distinguish them (Kreis *et al.*, 1992b). At very high fields (at 4 T or above), the C4 resonances of Glu and Gln start to become resolved. Increased cerebral Gln has been found in patients with liver failure (hepatic encephalopathy (Ross *et al.*, 1994b), and Reye's syndrome (Kreis *et al.*, 1995a)) as the result of increased blood ammonia levels, which increases Gln synthesis.

### Less commonly detected compounds

A survey of the literature reveals some 25 additional compounds that have been assigned in proton spectra of the human brain. Some of these compounds are present in normal circumstances, but because they are very small and/or overlapping peaks it is usually difficult to detect them. Some examples of these include NAAG, aspartate, taurine, scyllo-inositol, betaine, ethanolamine, purine nucleotides, histidine, glucose, and glycogen (van Zijl and Barker, 1997). Other compounds are yet more difficult to detect and require the use of special spectral editing

pulses (beyond the scope of the current chapter) to detect; example of these include  $\gamma$ -amino-butyric acid (GABA), glutathione, and certain macromolecules (Rothman *et al.*, 1993; Terpstra *et al.*, 2003).

Under disease conditions, other compounds may become detectable because their concentration is pathologically increased. Examples of compounds that have been detected under pathological conditions include the ketone bodies  $\beta$ -hydroxy-butyrate and acetone (Seymour *et al.*, 1999; Pan *et al.*, 2001), and other compounds such as phenylalanine (Phe) (in phenylketonuria (PKU) (Kreis *et al.*, 1995b)), galactitol, ribitol, arabitol in "polyol disease" (van der Knaap *et al.*, 1999), succinate, pyruvate, alanine, glycine, and threonine. Finally, exogenous compounds which are able to cross the blood-brain barrier (BBB) may also reach sufficiently high concentrations to be detected by proton MRS. Examples of exogenous compounds, sometimes termed "xenobiotics", include the drug delivery vehicle propan-1,2-diol (Cady *et al.*, 1994), mannitol (used to reduce swelling and edema in neurosurgical procedures and intensive care), ethanol (Meyerhoff *et al.*, 1996), and the health food supplement methylsulfonylmethane (MSM) (Lin *et al.*, 2001).

In order for a compound to be detectable by proton MRS in vivo, a rule of thumb is that its concentration should be 1 mM or greater, and it should be a small, mobile molecule. Hence large and/or membrane-associated molecules will not be detected. The ability to detect and quantify compounds should increase with increasing magnetic field strength; for instance, a recent study of the normal human brain at 7 T was able to detect more than 14 different compounds (Figure 1.4).

Recently, measurements of brain temperature have also been made using the water-NAA chemical shift difference (the water chemical shift has a 0.01 ppm/°C temperature dependence) (Cady *et al.*, 1995).

## Technical issues: spatial localization

### Single-voxel techniques

Generally, two different approaches are used for proton spectroscopy of the brain: single-voxel methods

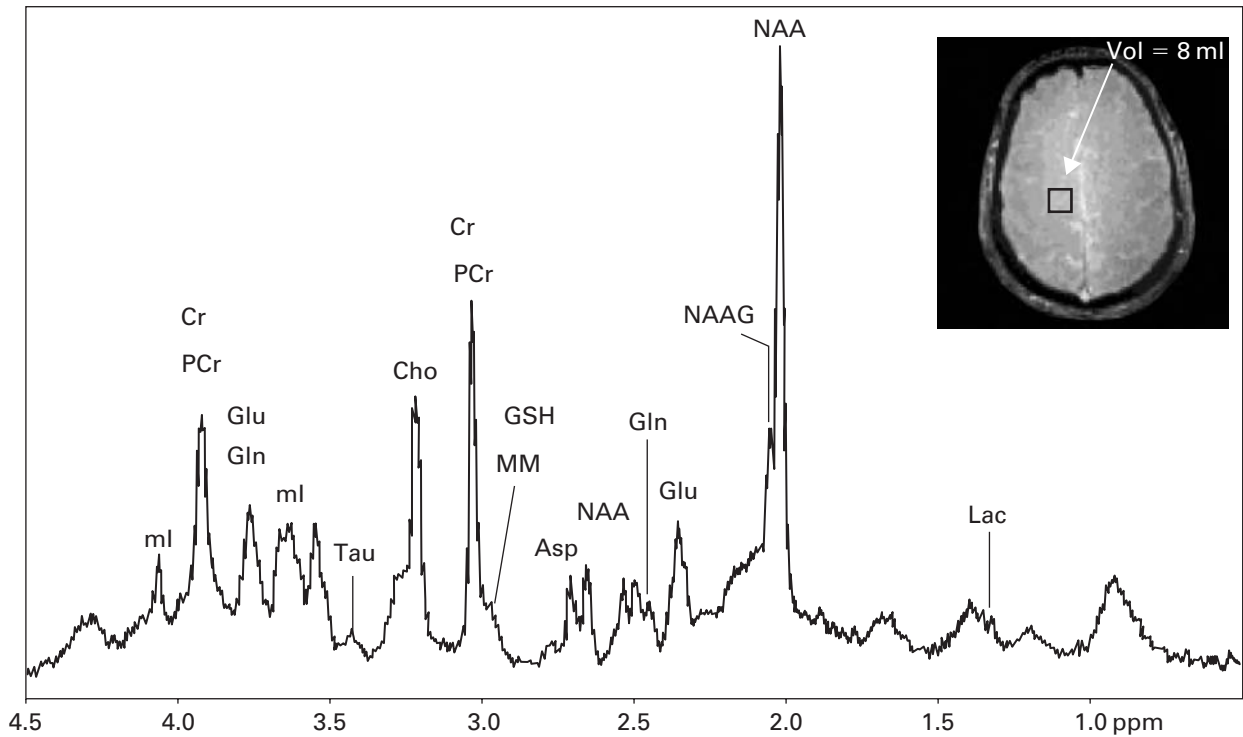


Fig. 1.4 Proton MR spectrum from parietal WM measured at 7T in the normal human brain. STEAM, TE 56 ms, TM 5 32 ms, TR 5 s, voxel size 5.8 ml, 160 averages (scan time approximately 13 min), resolution enhancement by a shifted Gaussian function. Inset: gradient echo (GE) transverse MRI with the voxel location. Reproduced with permission from Tkac *et al.* (2001).

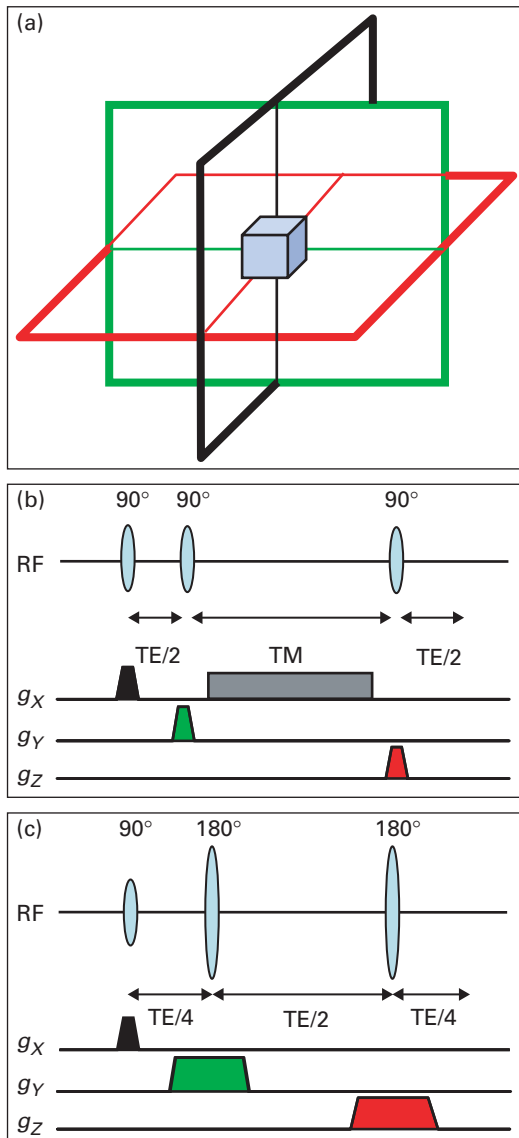
based on the stimulated echo acquisition mode (STEAM) (Frahm *et al.*, 1989) or point resolved spectroscopy (PRESS) (Bottomley, 1984) pulse sequences, or spectroscopic imaging (SI) (also known as chemical shift imaging (CSI)) studies usually done in two dimensions using a variety of different pulse sequences (spin-echo (SE), PRESS) (Brown *et al.*, 1982; Luyten *et al.*, 1990; Duyn *et al.*, 1993).

The basic principle underlying single-voxel localization techniques is to use (usually) three mutually orthogonal slice selective pulses and design the pulse sequence to collect only the echo signal from the point (voxel) in space where all three slices intersect (Figure 1.5). The two most commonly used sequence are called STEAM (Frahm *et al.*, 1989) and PRESS. In STEAM (Figure 1.5(b)), three  $90^\circ$  pulses are used, and the stimulated echo is collected. All other signals (echoes) should be dephased by the large crusher gradient applied during the so-called mixing time (TM, from analogy with the two-dimensional

(2D) NMR nuclear overhauser effect (NOESY) pulse sequence (Ernst *et al.*, 1987)). Crusher gradients applied during TE on selected gradient channels are necessary for consistent formation of the stimulated echo and removal of unwanted coherences. In PRESS, the second and third pulses are refocusing ( $180^\circ$ ) pulses, and crusher gradients are applied around these pulses to select the desired SE signal arising from all three RF pulses, and dephasing unwanted coherences. STEAM and PRESS have been the subject of a detailed comparison (Moonen *et al.*, 1989); they are generally similar but differ in a few key respects:

1. *Slice profile (i.e. sharpness of edges of voxel)*: STEAM is somewhat better because it is easier to produce a  $90^\circ$  pulse with a sharp slice profile than a  $180^\circ$  pulse.
2. *SNR*: Provided that equal volumes of tissue are observed and using the same parameters (repetition time (TR), TE, number of averages, etc.),





**Fig. 1.5** Single-voxel pulse sequences: (a) schematic illustration of three orthogonal slice selective pulses. The size and position of the voxel is controlled by the frequency and bandwidth of the slice selective pulses, as well as by the amplitude of the associated slice selective field gradients, (b) STEAM and (c) PRESS. Note that simplified diagrams are presented which do not show all crusher gradients, gradient lobes and RF pulse shapes.

PRESS should have approximately a factor of two better SNR than STEAM, because the stimulated echo is formed from only half the available equilibrium magnetization.

3. *Minimum TE*: STEAM should have a shorter minimum TE than PRESS, since it uses a TM time

period, and shorter 90° than 180° pulses may be possible.

4. *Water suppression*: STEAM may have slightly better water suppression factors, because water suppression (cf. below) pulses can be added during the TM period (this period does not occur in PRESS). Also, STEAM may have less spurious water signal from the 90° slice selective pulses than the 180° pulses in PRESS.
5. *Coupled spin systems and zero-quantum interference*: The complex phenomena that can occur in coupled spin systems (e.g. Lac, Glu, etc.), namely modulation of the echo signal by scalar couplings, and/or the creation of zero- or multiple-quantum coherences, may occur with both sequences. However, the detailed dependence of these compounds' signals on TE and other experimental parameters will be different for STEAM and PRESS. STEAM is more susceptible for the creation of (usually unwanted) zero-quantum coherence because it uses 90° pulses.

It should be recognized that the differences listed above are fairly subtle, and generally STEAM or PRESS are essentially interchangeable in clinical brain spectroscopy, and the choice of sequence in practice often mainly depends on the particular availability from the MRI vendor.

It is important to recognize the importance of accurate spatial localization and suppression of signal from outside the desired voxel. The volume of the human head is two to three orders of magnitude larger than that of the volume of interest (VOI). Even a few percent outer-volume contamination can have a disastrous effect on spectral quality, particularly if field homogeneity is poor in remote regions, and if they contain large water and lipid signals. Methods for maximizing out-of-volume suppression (saturation pulses, optimal use of crusher gradients) are discussed in Chapter 3.

### Multiple-voxel (SI) techniques

While single-voxel techniques are popular in clinical practice for several reasons (they have short scan times, are widely available, can be done at short TE, and are relatively easy to use and interpret), they do also suffer some limitations. Probably the greatest

single limitation is the lack of ability to determine spatial heterogeneity of spectral patterns (often very important in brain tumors, for instance), and the fact that only a small number of brain regions can be covered within the time constraints of a normal clinical MR examination.

Therefore, there has been considerable effort over the last decade and a half to develop clinically feasible MR spectroscopic (MRSI) techniques. Early attempts at MRSI in the human brain used one-dimensional (1D)-MRSI (i.e. phase encoding in a single direction) (Petroff *et al.*, 1992), and while these demonstrated proof-of-principle, generally 1D localization is insufficient for detailed studies of focal brain pathology. Therefore, MRSI techniques were extended to two dimensions by using phase-encoding gradients in two directions (Luyten *et al.*, 1990; Duyn *et al.*, 1993) (Figure 1.6), or, subsequently, with full three-dimensional (3D) encoding (Nelson *et al.*, 1999).

One widely used 2D-MRSI pulse sequence combines multi-slice capability with full-slice coverage using a combination of spin-echoes and outer-volume suppression (OVS) pulses (Duyn *et al.*, 1993). The sequence is illustrated schematically in Figure 1.7. Compared to PRESS-MRSI, this sequence can cover the whole slice out to the edge of the cortex, and also record multiple slices. Also, by interleaving multiple slices within one TR, the sequence is very efficient in terms of data collection, and generally, can acquire data at higher spatial resolution and brain coverage than comparable sequences using 3D-MRSI. One potential caveat when attempting wide coverage of brain regions, however, is the difficulty of obtaining sufficient magnetic field homogeneity over the full volume of the brain (simultaneously). For this reason, the sequence of Figure 1.7 is usually performed at long TE (e.g. 140 or 280 ms). These are optimum TEs for detecting the Lac signal (modulation due to scalar coupling causes the Lac signal to be inverted at TE 140 ms). Generally, field homogeneity requirements are less stringent for long TE spectra than short TE, because the spectra are simpler with less overlapping resonances (cf. Chapter 3). Recent technical advances to address this issue include slice-by-slice shimming (i.e. dynamic adjustment of the shim currents within the TR time period for each slice) and the

development of high-order shimming *in vivo*. An excellent approach for localized shimming *in vivo* is the fast automatic shimming technique by mapping along projections (FASTMAP) method of Gruetter (1993).

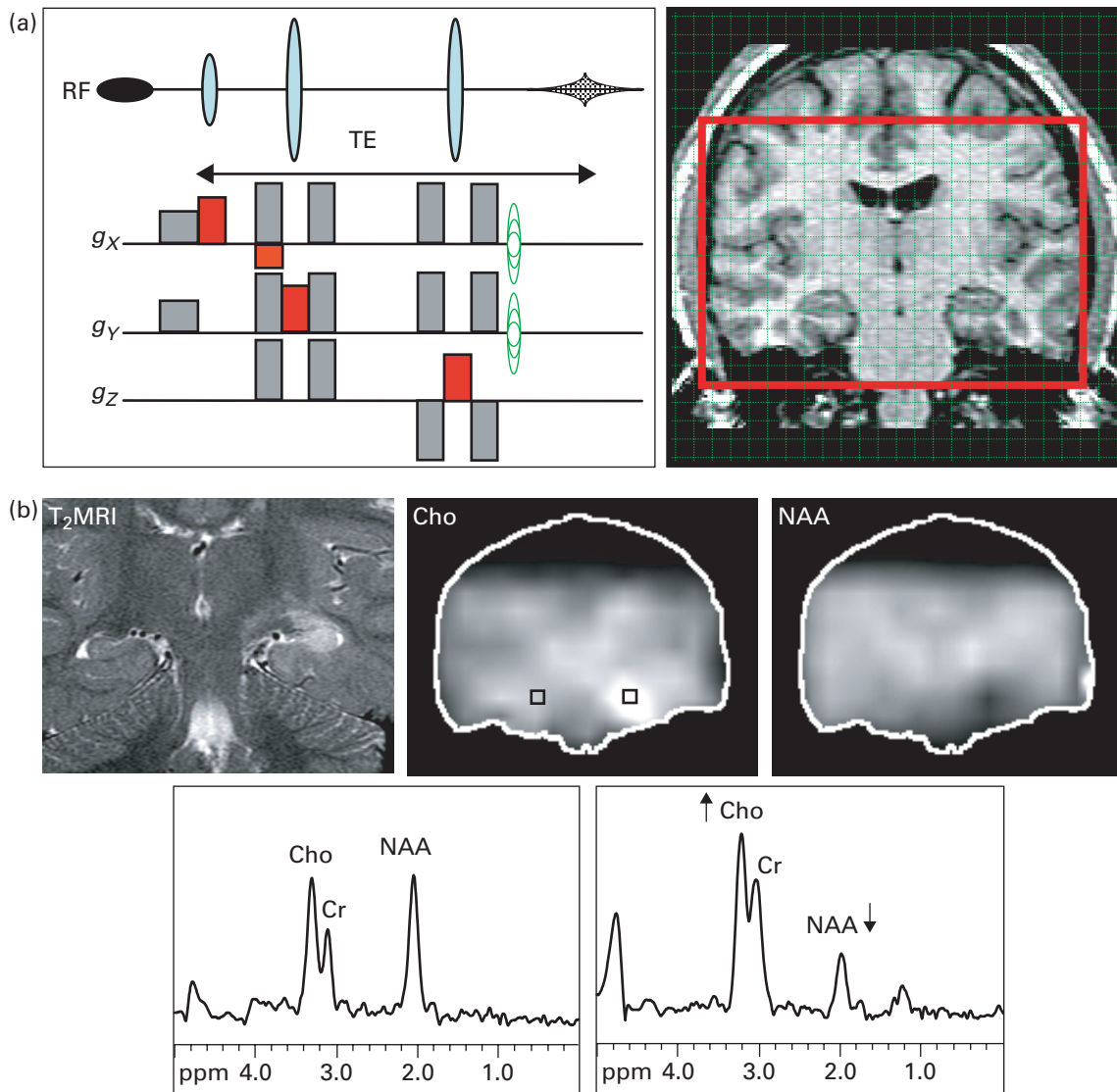
An example of a representative multi-slice MRSI data set performed at long TE is given in Figure 1.8. Generally, good quality spectra can be obtained from most parts of the brain, with insufficient field homogeneity only present in regions adjacent to air-tissue interfaces inside the head (e.g. artifacts can be seen in the anterior, mesial temporal lobes and inferior frontal lobe).

MRSI experiments are relatively time consuming, because there are usually a large number of phase-encoding gradient steps to collect. This is particularly true for 2D- or 3D-MRSI experiments that require both high spatial resolution and full (or large) brain coverage. Therefore, there have been various methods proposed to decrease scan time (Duyn and Moonen, 1994; Posse *et al.*, 1995). The discussion of these methods is beyond the scope of this chapter, however increasingly it is expected that fast MRSI techniques will become used for human spectroscopy, such that ultimately MRSI sequences may have similar scan times to single-voxel methods (e.g. 5–10 min, cf. Table 1.2).

### Comparison of single-voxel vs. SI techniques

Usually, but not exclusively, single-voxel scans are recorded at short TEs (35 ms) while MRSI studies are done at long TEs (e.g. TE > 135–140 ms). Short TE spectra contain signals from more compounds and have better SNRs, but also have worse water and lipid contamination. Long TE spectra have lower SNR, fewer detectable compounds, and variable amount of T<sub>2</sub>-weighting, but are usually better resolved spectra with flatter baselines. Lac is usually best detected at long TEs (e.g. TE = 140 or 280 ms, so that the J-modulation is rephased) to distinguish it from lipid signals. The relative advantages and disadvantages of single-voxel vs. SI techniques are listed in Table 1.1.

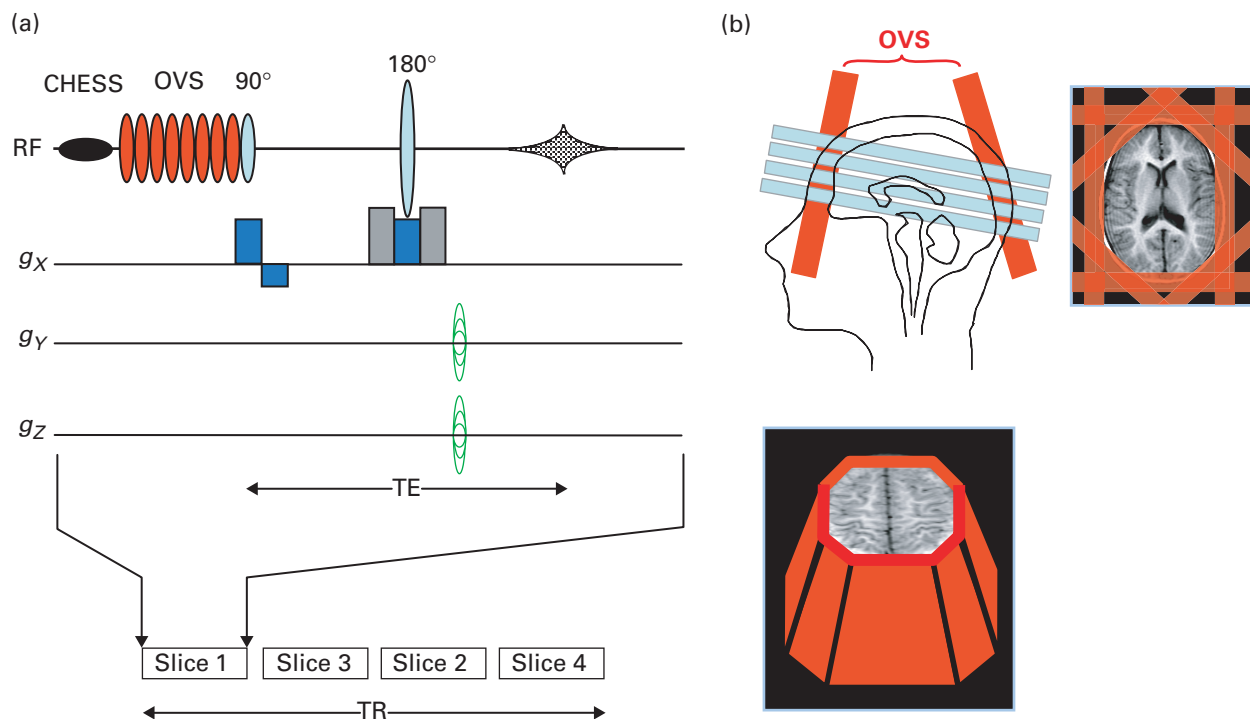
The choice of method depends (in addition to availability) on the information required in the particular medical or research application. For instance, if spectroscopy is being used to search for



**Fig. 1.6** Pulse sequence for 2D PRESS-MRSI. (a) PRESS sequence (red) is used to select a large region of interest (ROI) within the brain (but avoiding unwanted lipid signals in the skull and scalp on this coronal example), and then phase-encoding gradients (green) are applied in two dimensions to encode spatial information inside the excited volume. Data is processed by 3D Fourier transformation (two spatial and one time domains). Full crusher gradients are shown, including those associated with the initial (black) water suppression pulse. Slice selective gradients are indicated in blue. Adapted with permission from (Moonen *et al.*, 1992). (b) An example of the 2D PRESS MRSI pulse sequence in a 14-year old female presenting with seizures with a lesion in the left mesial temporal lobe. Data are presented as metabolic images of NAA and Cho, as well as selected spectra from the left and right hippocampi (voxel positions indicated on Cho images). The lesion has elevated Cho and Cr, and low NAA, typical of a glioma (and atypical for mesial temporal sclerosis which usually shows a selective reduction in NAA only).

the location of a stroke or a seizure focus, SI would be preferable since this generates maps of metabolite levels which can be screened for abnormalities in different locations. Alternatively, if the issue is to observe changes in compounds such as Gln/Glu or mI, which can only be detected in short TE spectra,

in global or diffuse diseases such as hepatic encephalopathy, then short TE single-voxel spectroscopy would be the method of choice. Other factors include the length of time available, and whether or not the required voxel location would be better viewed using localized shimming (i.e. single



**Fig. 1.7** (a) Schematic illustration of pulse sequence for multi-slice MRSI pulse with CHES water suppression and outer-volume saturation bands for lipid suppression (Duyn *et al.*, 1993) (for clarity, not all crusher gradients are illustrated). A slice selective spin-echo sequence is used, with interleaved acquisition (in this example) or four slices within one TR period. (b) The orientation and locations of the eight OVS pulses are schematically illustrated on sagittal and axial views; an octagonal pattern is prescribed in order to saturate as much peri-cranial lipid as possible while signal from brain is un-perturbed. Ideally, sharp profile, high bandwidth pulses (to minimize chemical shift effects) should be used for OVS.

voxel) or not. Short TE SI is becoming available in commercial sequences and as the techniques become more refined, will provide spatial maps of a greater range of metabolites.

### Water and lipid suppression

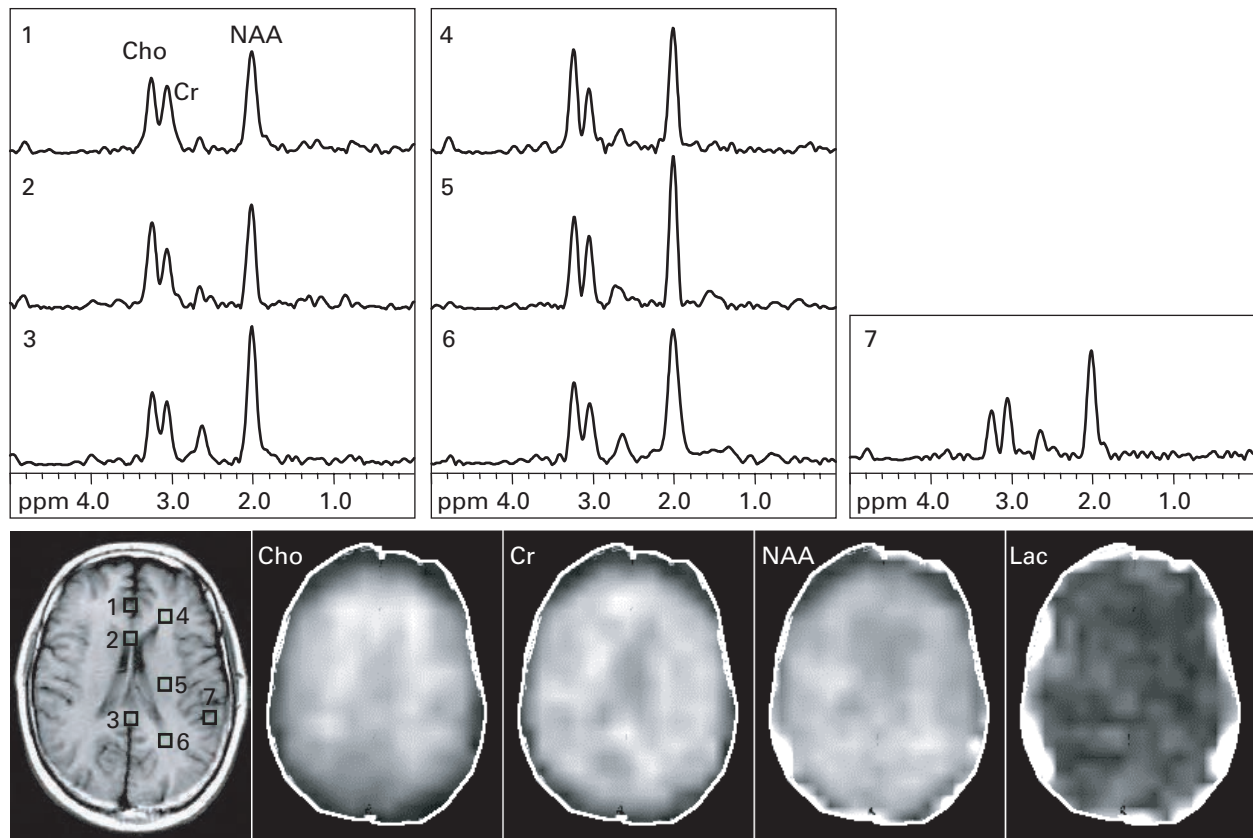
Brain metabolite levels are on the order of 10 mM or less, whereas protons in brain water are approximately 80 M, and lipids in peri-cranial fat are also present in very high concentrations. Therefore, water and lipid suppression techniques are essential in proton spectroscopy in order to observe reliably the much smaller metabolite signals. Numerous methods for solvent (water) suppression have been developed in high-resolution NMR spectroscopy, and some of these methods have been applied to in vivo spectroscopy. The most common approach is to pre-saturate the water signal using

frequency-selective, 90° pulses (chemical shift-selective water suppression (CHES) pulses (Haase *et al.*, 1985)) prior to localization pulse sequence (Figure 1.7). By using more than one pulse, and with correct choice of flip angles (Moonen and van Zijl, 1990; Ogg, 1994), very good suppression factors can be attained (>1000).

Lipid suppression can be performed in several different ways. One approach is to avoid exciting the lipid signal using, e.g. STEAM or PRESS localization to avoid exciting lipid-containing regions (Figure 1.5). Alternatively (or in addition), OVS pulses can be used to pre-saturate the lipid signal (Duyn *et al.*, 1993) (Figure 1.7). An inversion pulse can also be used for lipid suppression, exploiting the difference in  $T_1$ s between lipid (typically 300 ms) and metabolites (typically 1000–2000 ms) (Spielman *et al.*, 1992). Choice of a short inversion time (TI) of around 200 ms ( $= T_1 \times \ln[2]$ ) will selectively null the lipid signal,

**Table 1.2.** Comparison of single-voxel and multi-voxel MRSI methodologies

	Single voxel	MRSI
TE	Short or long	Usually long, can be short if field homogeneity is good (e.g. small region of coverage)
Typical voxel sizes (cm <sup>3</sup> )	4–20	1–4
Typical scan times (min)	5–10	6–30
Shimming	Localized	Global
Water/lipid suppression	Better	Worse
Processing/quantitation	Simple processing, can be quantified	Processing and quantification more time consuming
Multiple voxels	3 or 4 at most	Many voxels



**Fig. 1.8** MRSI data recorded using the pulse sequence of Figure 1.7. Metabolic images of Cho, Cr, NAA and lactate from one-slice at the level of the lateral ventricles in a normal 49 year adult are presented, as well as representative spectra from different brain regions. Scan parameters were TR 2300 ms, TE 272 ms, 15 mm slice thickness, field of view (FOV) 24 cm, matrix size  $32 \times 32$ , scan time 30 min with circular k-space encoding. The nominal voxel size is  $0.8 \text{ cm}^3$ . NAA is fairly evenly distributed at this level, while Cho shows an increase from posterior to anterior brain regions (e.g. cf. posterior (6) to anterior (4) WM, and splenium (3) to genu (2) of corpus callosum). Cho is also lower in the lateral GM region (7) compared to WM voxels. No lactate is detectable above the noise floor of the data set.

while most of the metabolite signal remains inverted. In MRSI, it is also possible to reduce lipid artifacts by post-processing methods (Haupt *et al.*, 1996).

Since both water and lipid resonances have shorter  $T_2$  relaxation times than many metabolites, suppression factors are also usually better in long TE compared to short TE spectra.

### Data analysis and quantification

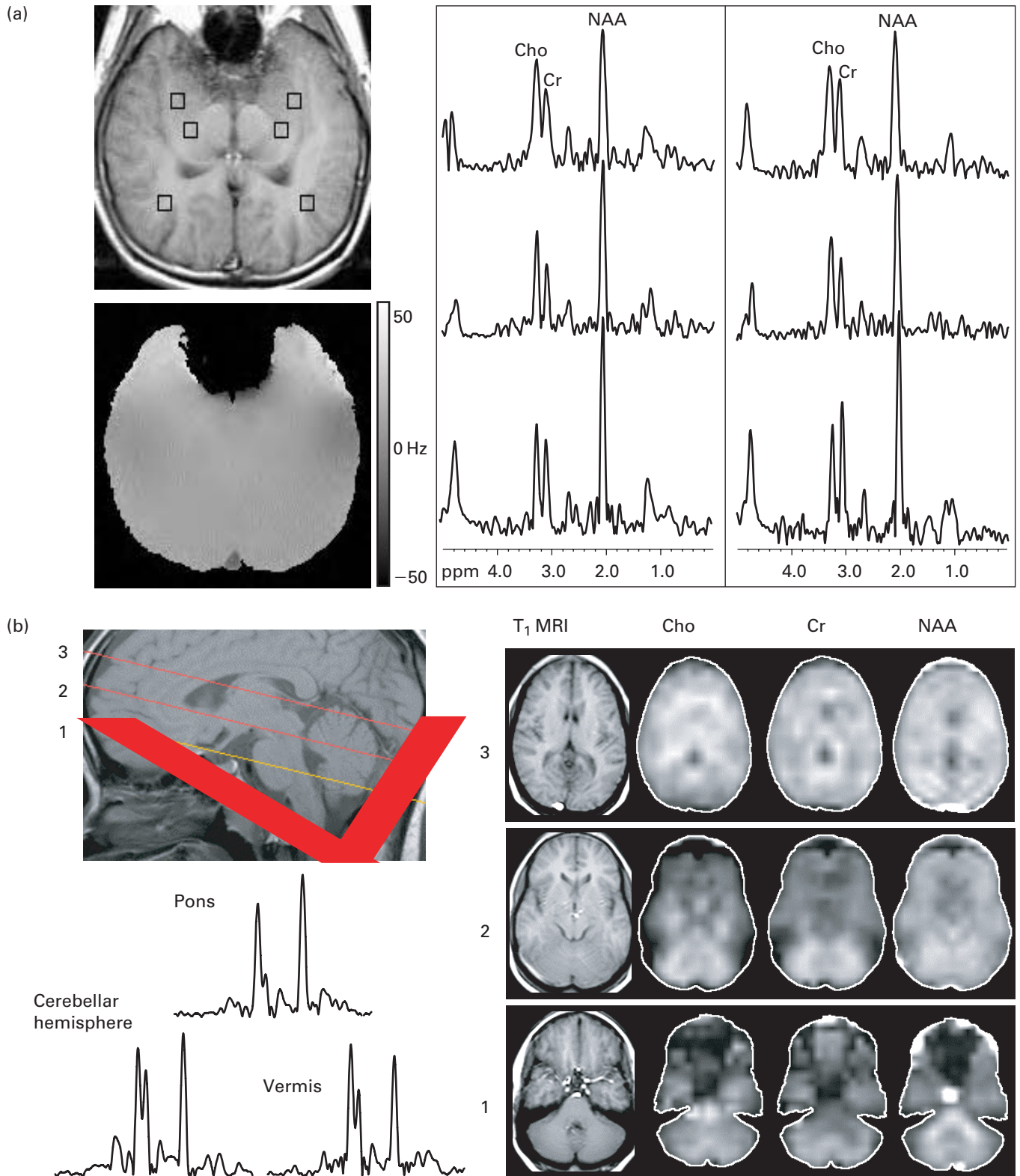
Peak area measurements in *in vivo* spectroscopy are complicated by resonance overlap, baseline distortions, and non-Lorentzian lineshapes. Various methods have been used to measure peak areas, ranging from simple integration to fitting algorithms in the time or frequency domains (Raphael, 1991; de Beer and van Ormondt, 1992). One of the more widely used methods for spectral quantitation in recent years is the linear combination model (LC model) method developed by Provencher *et al.* The LC model fits the *in vivo* spectrum as a combination of pure, model spectra from each of the expected compounds in the brain (Provencher, 1993). The model also includes automatic phase correction and baseline correction, or the baseline may also be modeled as a combination of macromolecular resonances. Provided that each scanner is properly calibrated with the appropriate model solutions, the program returns metabolite concentrations as well as estimates of uncertainty (e.g. Cramer–Rao lower bounds).

Quantification of *in vivo* spectra is discussed in detail in Chapter 2. Quantification is important for several reasons, but particularly so in clinical cases where all metabolites (or all regions of the brain) may be abnormal. Quantification methods based on internal or external standards have been extensively developed and tested for single-voxel spectroscopy (Henriksen, 1995) and can be used routinely. With care, it is also possible to quantify MR spectroscopic imaging data (Soher *et al.*, 1996). Occasionally, ratios of peak areas may also be useful, for instance to account for partial volume effects (PVE) or to enhance spectroscopic “contrast” in conditions where metabolites may change in opposite directions (e.g. Cho increases, NAA decreases).

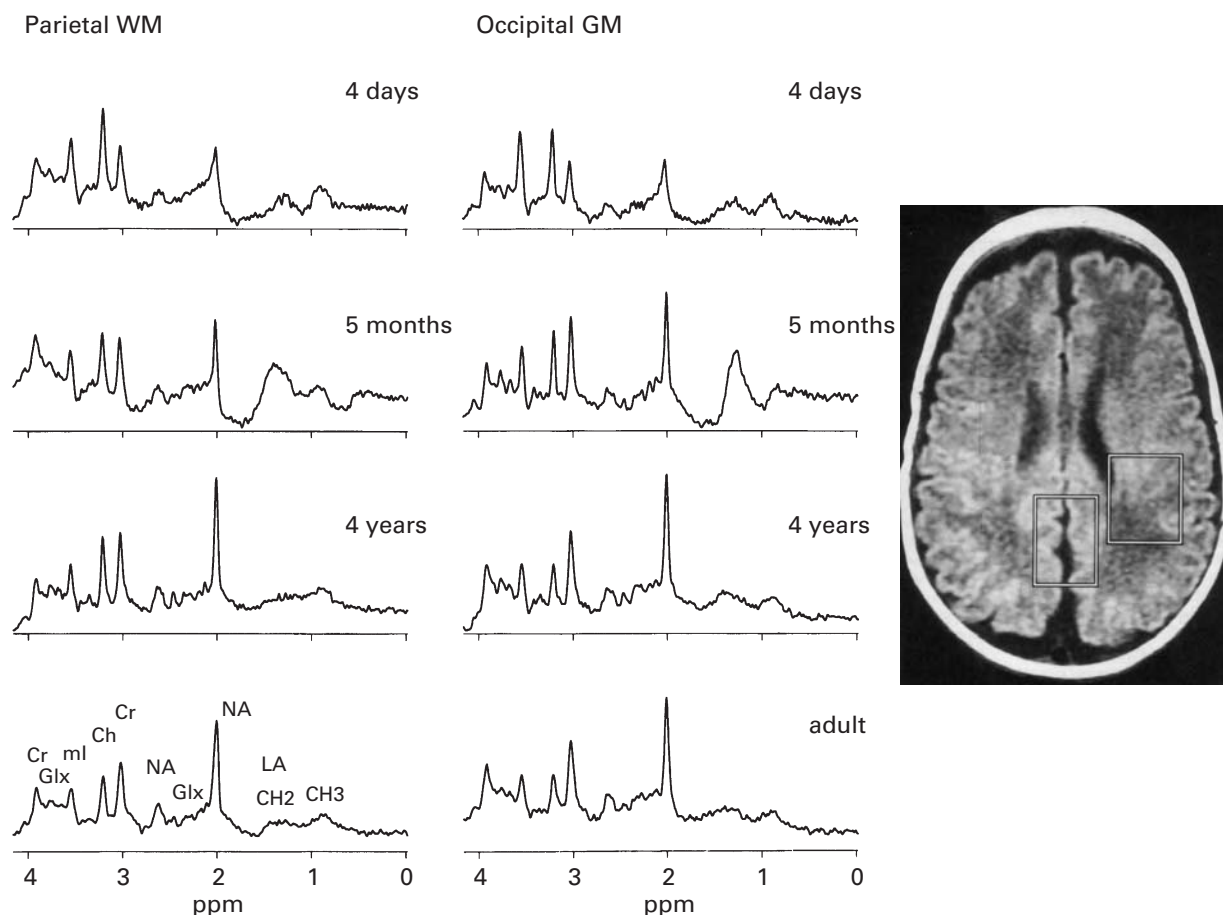
### Anatomical variations in brain spectra: changes associated with brain development and aging

Evidently, it is important to establish normal spectral variations associated with age and anatomical location in the healthy control population. Numerous studies have looked at anatomical variations in brain spectra, usually in young adult subjects. At the level of the lateral ventricles and above, brain spectra appear to be fairly homogeneous, with spectra which are characteristic of GM and WM (Kreis *et al.*, 1993a; Michaelis *et al.*, 1993; Hetherington *et al.*, 1994; Soher *et al.*, 1996). Depending on the quantification technique used (and if partial volume correction is applied or not), generally the Cho and NAA signals are found to be marginally higher in WM than cortical GM, with WM showing a lower Cr level than GM. At the level of the third ventricle and below, significant anatomical variations exist in brain spectra. High levels of Cho are found in the insular cortex, and in the region of the hypothalamus. Occipital Cho in the region of the visual cortex is generally low. The pons has high levels of NAA and Cho, and low levels of Cr, perhaps due to its high density of fiber bundles. Cerebellar levels of Cr and Cho are significantly higher than supratentorial values (Michaelis *et al.*, 1993), and temporal lobe has been reported to have lower NAA values (Breiter *et al.*, 1994). Significant anterior–posterior differences have also been reported in normal hippocampal metabolite concentrations, with low NAA and high Cho in the anterior regions of the hippocampus (Vermathen *et al.*, 1997). Relatively fewer papers have addressed the issue of gender differences or metabolic asymmetries in normal brain. However, it appears that there are minimal spectral differences (Charles *et al.*, 1994) with regard to these variables, at least in young adults (Figure 1.9).

Several papers have been published on the changes that occur in proton spectra in the developing brain, and most of the results are in good agreement (van der Knaap *et al.*, 1990; Huppi *et al.*, 1991; Kreis *et al.*, 1993b; Kimura *et al.*, 1995). At birth, NAA is low, while Cho and mI are high, and over the first 1–2 years there is a gradual normalization towards adult values (Figure 1.10) (Kreis *et al.*, 1993b).



**Fig. 1.9** Multi-slice MRSI of (a) temporal lobe and (b) posterior fossa brain regions recorded using the sequence of Figure 1.7. (a) MRSI in an oblique-axial plane parallel to the long axis of the temporal lobe. NAA levels decrease and Cho levels increase in the anterior mesial temporal lobe relative to posterior. Note also the severe field inhomogeneity caused by the sinuses in the frontal region on the  $B_0$  field map. Field homogeneity is also perturbed superior to the auditory canals. (b) MRSI of the posterior fossa. Note the high Cho and Cr levels in the cerebellar vermis and hemispheres. The pons also shows high levels of Cho, but low Cr.



**Fig. 1.10** Developmental changes in the human brain. Spectra recorded at short TE (TE 35 ms) are shown in posterior white and GM regions as a function of post-partum age; 4 days, 5 months, 4 years and adult. Note the high levels of Cho and ml at the earliest time point, which decline over the first 2 years of life. Also, NAA is low at birth and increases rapidly. By 4 years of age (in these brain regions), spectra are indistinguishable from those in adults. Reproduced and adapted with permission from (Kreis *et al.*, 1993b).

Similar patterns are seen for both GM and WM, although regional developmental changes have yet to be studied in detail (e.g. using SI). Recent studies have suggested that although the major changes occur within the first year of life, slower changes occur thereafter, with full adult values not being reached until about 20 years of age (Pouwels *et al.*, 1997), and that some regions (e.g. frontal lobe) may develop more slowly than posterior regions (cf. also Chapter 40).

In contrast to studies of developing brain, fewer studies of normal aging have been reported, and the results are less concordant. Some groups find lower

NAA with increasing age (Christiansen *et al.*, 1993; Lim and Spielman, 1997), which may reflect neuronal loss, while others find no changes (Chang *et al.*, 1996; Soher *et al.*, 1996). In one study, NAA was only reduced in subjects who also had cerebral atrophy as identified by MRI (Lundbom *et al.*, 1997). Some groups have also found increased levels of Cr or Cho in older subjects, perhaps reflecting increased gliosis (Chang *et al.*, 1996; Soher *et al.*, 1996). This area is discussed further in Chapter 34. The discrepancies between different studies could be due to many different technical factors in data collection and analysis, but may also reflect the wide



physiological variations of normal human aging. More studies are required to definitively establish the spectroscopic characteristics of normal aging, but it is apparent that the changes associated with normal aging are appreciably more subtle than those associated with brain development.

Due to significant technique-related, regional, or age-related changes, it is advisable that spectroscopic studies should have carefully age- and anatomically-matched spectra from control subjects for comparison. In addition, spectroscopic scans of focal brain lesions (for instance) are often much easier to interpret if spectra from normal brain in the contralateral hemisphere are available for comparison.

## Summary

Proton MRS and MRSI are now mature methodologies that can be applied routinely on 1.5 T (and higher) imaging systems for the study of neurological disease. The subsequent chapters of this book cover spectral quantification techniques, artifacts and pitfalls, and the clinical applications of these techniques. It is expected that advances in pulse sequence design, analysis methods, and the use of high magnetic fields will continue to occur.

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# Quantification and analysis in MR spectroscopy

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## Key points

- Spectral quantification allows detection of metabolite abnormalities that are not appreciated from visual inspection alone.
- Metabolite ratio determination is robust and reproducible in a clinical environment, but prone to changes in the denominator metabolite concentration (commonly creatine).
- Spectra may be fitted in the time or frequency domain.
- Absolute metabolite quantification requires internal or external reference standards, and correction for tissue volumes (e.g. cerebrospinal fluid) within the voxel. Water is a commonly used internal reference signal.
- Chemical shift imaging allows calculation of metabolite levels within different tissues, e.g. gray and white matter.

## Introduction

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### Why quantification and not visual interpretation?

The quantification of spectral peaks plays an important role in MR spectroscopy (MRS), and pure visual readings of spectra are less common compared to MR imaging (MRI). The reason for this difference is that MRI relies on the detection of spatial or signal abnormalities as a result of disease conditions, whereas MRS interpretation commonly relies on the interpretation of differences in relative proportions of metabolite peaks at a given location. Furthermore,

spectroscopic peaks reflect the concentrations of metabolites in the tissue; however, it is impossible to determine these concentrations visually.

These points are illustrated in Figure 2.1, which shows proton spectra from a lymphoma lesion and a contralateral voxel in a patient with acquired immunodeficiency syndrome (AIDS). Since the spectra can be plotted with arbitrary vertical scaling, it is unclear if a given metabolite peak, and its associated concentration, in the lesion is higher or lower compared to the healthy brain tissue. It is even difficult to estimate the relative heights of the metabolite peaks within each voxel. Therefore, the ultimate goal of spectral analysis is to determine accurate estimates of metabolite peak areas that reflect metabolite concentrations.

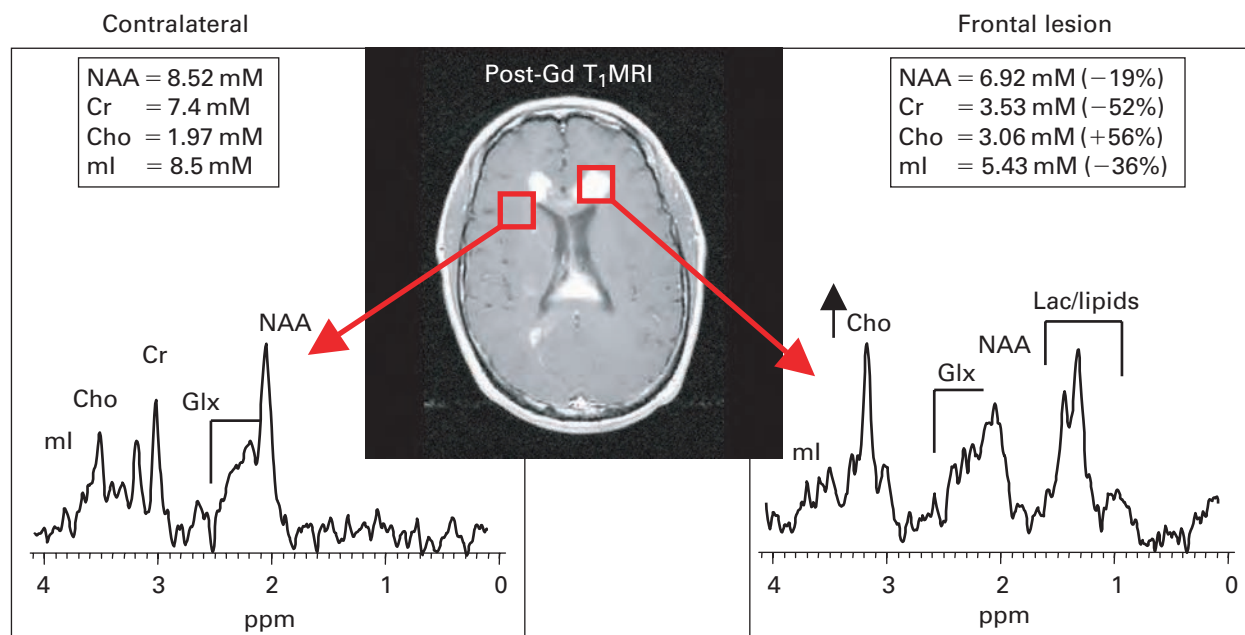
## Spectral analysis

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### Overview

The first major step in determining metabolite concentrations is to obtain the signal strength  $S_m$  of each metabolite in a given spectrum. Typically, sophisticated computer algorithms are used for this purpose. We will describe the major techniques and discuss major advantages and problems. However, the exact details of the analysis often will be completely hidden from the user, especially with some of the more recent automated programs, and most likely will have only minor influence on the quality of the analyses.

Spectral analysis can be performed in the “time domain”, using the so-called “free-induction decay”



**Fig. 2.1** Localized  $^1\text{H}$  MR spectra from a lymphoma in the left frontal lobe and from a contralateral control region in normal-appearing white matter (WM). While visual inspection shows clear differences between the two spectra, it does not allow an accurate, quantitative assessment of the metabolite abnormalities. Spectral quantification, using the water signal as a reference, makes it possible to calculate millimolar (mM) metabolite concentrations, and demonstrates that the lymphoma lesion has reduced concentrations of *N*-acetyl aspartate (NAA) compounds, total creatine (Cr), and myo-inositol (ml), whereas the concentration of Choline (Cho) compounds is increased in the lesion. Glx, glutamate (Glu) + glutamine (Gln).

(or FID), or the “frequency domain”, using “spectra” after Fourier transformation of the time-domain data. Of these two, analysis in the frequency domain (Mierisova and Ala-Korpela, 2001), i.e. the use of spectra, is more intuitive and will be discussed first. The steps involved in frequency-domain spectral analysis are exemplified in Figure 2.2 and outlined below. Historically, the steps were performed sequentially and manually by a spectroscopist; however, more recent spectral analysis programs are completely automatic.

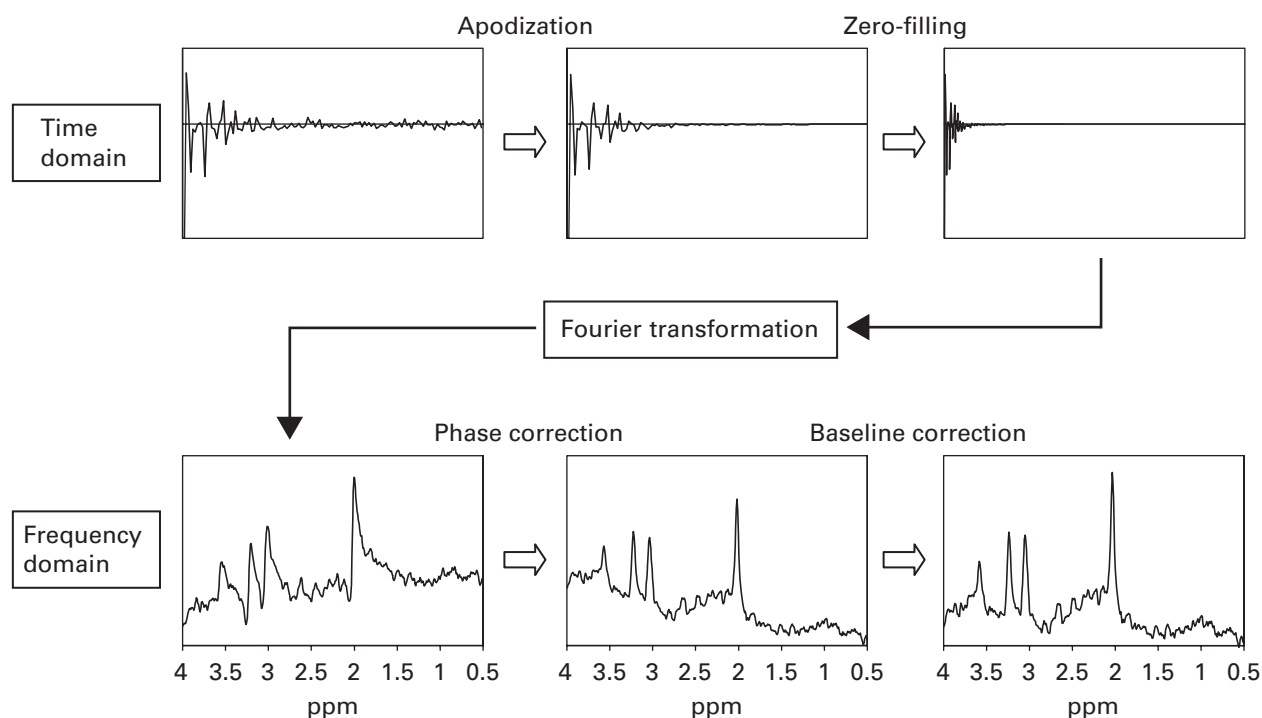
### Time domain pre-processing

Spectral analysis typically involves several pre-processing steps in the time domain that are summarized in Figure 2.2 (top row). First, the digital time domain spectral data (FIDs) are corrected to remove phase variations due to residual gradient-induced eddy currents (Klose, 1990; Lin *et al.*, 1994). Next, a digital filter is commonly applied that removes the

potentially very large residual water signal (Coron *et al.*, 2001). The resulting data are multiplied with a decaying function, such as a decaying exponential, to attenuate signals on the right side of the FID. This step is called “low-pass filtering” or “apodization,” and reduces noise in the spectrum (cf. Figure 2.2), but at the expense of increasing spectral linewidths. Finally, the apodized are padded with zeroes on the right side (cf. Figure 2.2); for instance, the total number of data points may be increased from 1024 to 2048 or 4096. This step is called “zero-filling” and improves the digital resolution of spectra.

### Fourier transformation

The zero-filled, low-pass filtered, and eddy-current corrected time-domain data are then Fourier transformed, which yields frequency-domain data (spectra). The remaining processing steps are applied in the frequency domain (cf. Figure 2.2, bottom row).



**Fig. 2.2** Overview of the major processing steps for spectral analysis. The graphs show the typical appearance of MR signals (top row) and spectra (bottom row) after each step. Several pre-processing steps are performed in the time domain. Correction for gradient-induced eddy currents and removal of residual water signal yields the signal shown in the top left graph. This signal is multiplied with a decaying function (“apodization” or “low-pass filtering”) and padded with zeroes on the right side of the graph (“zero-filling”; result shown in top right box). For frequency-domain processing, the pre-processed signal is Fourier transformed. The resulting spectrum typically has distorted line shapes (bottom left), which can be adjusted with a phase-correction algorithm (bottom center). Next, baseline correction (manual or automatic) yields a spectrum with a well-defined, horizontal baseline (bottom right). This pre-processed, phase- and baseline-corrected spectrum is then used to estimate metabolite peak areas with integration or iterative peak fitting algorithms.

### Phase correction

The phase of the raw spectrum after Fourier transformation is usually incorrect, i.e. metabolite peaks may be inverted or have distorted line shapes (Figure 2.2, bottom left), and requires manual or automatic adjustment.

### Baseline correction

After phase correction, the baseline of the resulting spectrum is typically distorted or slanted, and has to be corrected. For manual baseline correction, the user defines several spectral points, typically between the major metabolite peaks, as “baseline”.

The computer then fits and subtracts a smooth curve through these points. The result is a spectrum with a flat baseline, which is better suited for determination of metabolite peak areas (cf. next step).

### Determination of metabolite peak areas

The final step in spectral processing is the determination of the metabolite peak areas. The signal strength  $S_m$  of each metabolite relates to the size of the metabolite signal in the time domain (e.g. in millivolts). In the frequency domain, the corresponding measure is the area of the metabolite peak. Of note, the peak height alone does not represent the metabolite concentrations.

### Manual or automatic integration

One of the most intuitive and earliest methods to determine peak areas is by means of numerical integration, either manually or automatically. The user or a computer program selects two frequency points, one to the left and one to the right of a metabolite peak. The amplitude at these two points is assumed to define the spectral “baseline” and set to zero. The computer then proceeds to integrate the area under the peak, using a numerical integration algorithm.

While peak integration is very intuitive, it has substantial limitations, especially when applied to in vivo spectra. First, because in vivo spectra are commonly crowded and contain many overlapping metabolite peaks, it may be difficult to define the exact boundary between adjacent peaks. For instance, the choline (Cho) and creatine (Cr) peaks in proton spectra are separated by only 0.2 ppm, and there is often no clear boundary between the two. Of even greater concern is the overlap between some of the singlet resonances with broader multiplets or macromolecule resonances, such as that of the *N*-acetyl aspartate (NAA) peak at 2 ppm with the broad multiplet from glutamate (Glu) and glutamine (Gln) (between 2.0 and 2.4 ppm; visible at short echo times (TE)). In this situation, it is virtually impossible to separate the contributions from the different metabolites with simple peak integration algorithms.

### Peak fitting

To resolve the problems of numerical peak integration, sophisticated computer algorithms have been developed that rely on the iterative fitting of ideal or experimental model spectra to in vivo spectra. Early algorithms commonly modeled in vivo spectra as a superposition of multiple individual peaks of a certain “ideal” line shape. For instance, one may assume that a given in vivo spectrum comprises multiple resonances of a Lorentzian line shape, and model it accordingly. Other common line shapes include Gaussian or variable mixtures of Lorentzian with Gaussian lines, which resemble Voigt functions.

### Incorporation of prior knowledge in fitting algorithms

An important feature of the fitting algorithms is the inclusion of prior knowledge. The simplest

algorithms fit each spectral peak separately. Such an approach may be sufficient for analyzing spectra that contain only a few well-separated resonances, such as long TE proton spectra of the brain. However, it is generally advantageous to incorporate “prior knowledge” into fitting algorithms. “Prior knowledge” characterizes known information about spectral characteristics that are not variable among subjects, such as relative peak positions, relative intensity or phase of peaks for multiplets, etc. The use of prior knowledge reduces the number of free parameters that need to be determined by the fitting algorithm, and generally improves the quality of the fit and reduces fitting errors.

### Time-domain fitting

While less intuitive than spectral fitting in the frequency domain, the actual fitting procedure may also be performed in the time domain. In fact, mathematically there is no substantial difference between frequency- and time-domain fitting. However, in the time domain, it is essentially impossible to perform manual phase or baseline correction.

### Fitting of model spectra

A more recent program named linear combination model (“LC model”) (Provencher, 1993) fits in vivo spectra as a linear superposition of high-resolution “basis” spectra that are acquired from model solutions of metabolites that are present in the organ of interest. For instance, to model brain <sup>1</sup>H MR spectra, an LC model basis set may include high-resolution spectra of the major metabolites NAA, Cr and phosphocreatine, Cho, myo-inositol (mI), and Glu, as well as those of minor metabolites, such as Gln, gamma-amino-butyric acid (GABA), glucose, NAA-Glu (NAAG), etc. The fitting program determines the contribution of each basis spectrum to a given in vivo spectrum, and thus determines the relative concentration of the various metabolites in the basis set. Advantages of LC model are that all pre-processing steps, automatic phase correction as well as modeling of a smooth baseline are included. The initial acquisition of the basis spectra requires substantial expertise and effort; however, standardized basis sets are available for the most common clinical MR machines (both 1.5 and 3 T).



### Common problems

Most difficulties with spectral analysis are related to the fact that in vivo spectra contain multiple overlapping peaks including those from macromolecules, have relatively low signal-to-noise ratio (SNR) and ill-defined or slanted spectral baselines. While the resonances of metabolites at high concentration, such as Cr, are generally sufficiently well defined to allow accurate peak area determination, it may be difficult or impossible to obtain reliable peak areas for minor resonances. For instance, it is essentially impossible to obtain a reliable estimate of the amount of GABA from a regular in vivo  $^1\text{H}$  brain spectrum, since the major GABA signals co-resonate with the NAA and Cr resonances, which have 5–10 times higher concentration than GABA. In fact, some analysis programs determine a fitting error for each metabolite. An error of 20% or greater generally indicates that the peak area determination is unreliable; errors of 50% or greater imply that the area measure is entirely meaningless.

## Quantification

### Theoretical considerations

After metabolite peak areas have been determined with one of the methods described above, the second major step is to convert the peak areas, which are in arbitrary units, into metabolite concentrations. This quantification step relies on the fact that the strength of the MR signal  $S_m$  for a given metabolite “m” (or water) is proportional to the number of observed spins in the volume  $V$  of interest (VOI or voxel), which in turn is proportional to the concentration  $c_m$  of the metabolite and the number  $n_s$  of spins contributing to the resonance (e.g. 2 for water, which has 2 hydrogen nuclei). Formally, we can write for the signal of subject  $i$  at time  $t$ :

$$S_m(i,t) = \beta(i,t) \times c_m \times V \times n_s \times F_m(T_{1m}, T_{2m}, J_m, TR, TE, B_1(t)) \quad (2.1)$$

where  $\beta(i,t)$  is a scaling factor and  $F_m$  is a “modulation” factor; both of these are discussed in detail

below. Eq. (2.1) yields the following solution for the metabolite concentration  $c_m$ :

$$c_m = S_m(i,t) / [\beta(i,t) \times V \times n_s \times F_m(T_{1m}, T_{2m}, J_m, TR, TE, B_1(t))] \quad (2.2)$$

This equation shows that the metabolite concentration is proportional to the MR signal strength  $S_m$  and inverse to the volume, the number of spins, and the scaling and modulation factors.

The modulation function  $F_m$  describes how the signal is modulated by the TE, recovery time (TR), the longitudinal and transverse relaxation times of the metabolite ( $T_{1m}$  and  $T_{2m}$ ), the radio-frequency (RF) field strength  $B_1$  (i.e. flip angles), as well as the coupling constant  $J_m$  of the metabolite (if present). Some metabolites have simple, singlet spectra which do not contain couplings, whereas other have complicated spectral patterns with multiple coupling constants among the different protons in the molecule. The detailed dependence of  $F_m$  on these parameters may be very complicated, depends on the pulse sequence being used, and requires quantum mechanical calculations for coupled (i.e.  $J_m > 0$ ) spin systems (Ernst *et al.*, 1990). However, the overall dependence of  $F_m$  on flip angles, relaxation times, and sequence timing (TE and TR) resembles that of MR imaging sequences in that shorter TR values or longer TE values generally attenuate metabolite signals, and act similarly to “ $T_1$  weighting” or “ $T_2$  weighting” in MRI. Likewise, the signal amplitude is dependent on the strength of the RF field (i.e. flip angles); maximum signal is only achieved when the RF field is adjusted correctly. Of note, since the relaxation times and  $J$ -coupling constants differ among metabolites, the factor  $F_m$  may vary from one metabolite to another.

Another important parameter in Eq. (2.1) is the scaling factor  $\beta(i,t)$ . The scaling factor describes how the amplitude of the observed nuclear MR (NMR) signal relates to tissue-specific “internal” variables, such as metabolite concentrations, volume, etc.  $\beta$  depends on the parameters such as the size of the object to be imaged, the RF coil tuning and matching, and the gain of the RF receiver chain, all of which are difficult to control. Therefore,  $\beta(i,t)$  may vary from subject to subject (index  $i$ ), as well as

within a subject from one study to another (i.e. over time  $t$ ).

The goal of quantification is to derive the concentration  $c_m$  of a metabolite of interest from its signal strength  $S_m$  for a given subject and study. Therefore, according to Eq. (2.1), it is necessary to determine the scaling factor  $\beta(i,t)$ . Essentially all techniques rely on measuring the signal of a substance with known concentration (a “reference”) to determine  $\beta(i,t)$ . Several authors have reviewed quantification techniques (Tofts and Wray, 1988; Buchli *et al.*, 1994). The subsequent paragraphs briefly describe the different choices for the “reference”, and how the calibration is performed. For simplicity, we assume that a single-voxel proton MRS experiment is performed; the principles described can, however, be extended to multi-voxel methods, such as spectroscopic imaging (SI), or other nuclei.

### Techniques for quantifying metabolite concentrations

#### “Metabolite ratios”: use of a metabolite as a reference

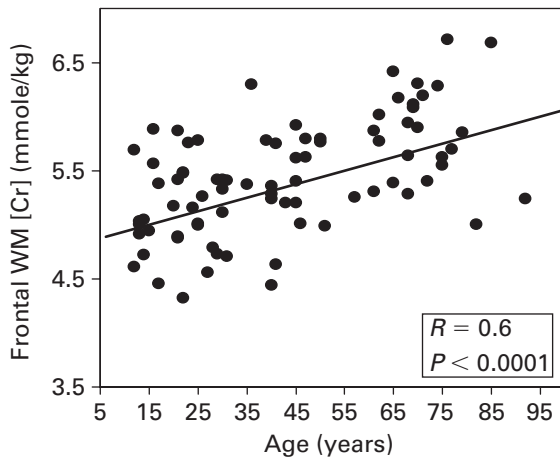
The most common approach to spectral quantification is to express metabolite levels relative to a “reference metabolite” in the same spectrum. This approach is referred to as the use of “metabolite ratios”. For  $^1\text{H}$  MRS, the most common reference metabolite is total Cr, and for  $^{31}\text{P}$  MRS, phosphocreatine is the most common reference. For example,  $^1\text{H}$  MRS studies of the brain frequently report results as ratios between a metabolite peak and Cr, such as the ratio of the NAA resonance to total Cr, or the “NAA/Cr ratio”. Of note, “metabolite ratios” do not reflect true concentrations, unless the concentration of the reference metabolite is known.

The use of metabolite ratios has several advantages. First, because the reference signal is acquired simultaneously with the metabolite of interest, many potential sources of systematic errors in Eq. (2.2), such as the scaling factor  $\beta$ , the exact volume, partial volume with cerebrospinal fluid (CSF), or the flip angles ( $B_1$ ), are removed. Consequently, metabolite ratios are probably the most robust of all spectral quantitation techniques. For example, the intra-subject variability of metabolite ratios in  $^1\text{H}$  MR

spectra of the human brain may be below 10% within a single-site, and below 15% across sites (Webb *et al.*, 1994) using similar, automated methodology. An added advantage of “metabolite ratios” is that their measurement does not require modifications to existing MRS sequences or additional series. Therefore, “metabolite ratios” may provide reliable markers of tissue biochemistry and be useful for clinical diagnosis.

However, “metabolite ratios” are associated with a significant shortcoming: it is impossible to determine whether an abnormality in a ratio is due to a change in the numerator metabolite (e.g. NAA) or in the denominator metabolite (e.g. Cr), or both. Therefore, metabolite ratios are intrinsically ambiguous and prone to misinterpretation. Nonetheless, it is a frequent implicit assumption that the concentration of the reference metabolite is constant over time or across subjects and disease conditions. For example, reduced NAA/Cr ratio in brain tissue is commonly interpreted as decreased NAA concentration due to neuronal loss. However, reduced NAA/Cr may also be a result of increased Cr. In the brain, for instance, increased Cr concentrations (measured with one of the techniques described below) have been observed in multiple sclerosis (MS) (Inglese *et al.*, 2003), HIV dementia (Chang *et al.*, 1999), in myotonic dystrophy where the Cr concentration shows a dramatic and linear increase with the number of CTG repeats (a genetic marker of disease severity) (Chang *et al.*, 1998) and in other brain diseases. Conversely, decreased Cr concentrations are common in conditions that are associated with the destruction of normal brain tissue, such as strokes (Saunders, 2000), abscesses (Chang *et al.*, 1995), or neoplasms (Chang *et al.*, 1995; Negendank *et al.*, 1996; Preul *et al.*, 1996). Furthermore, the cerebral Cr concentration also changes during neurodevelopment (Kreis *et al.*, 1993a; Pouwels *et al.*, 1999; Horska *et al.*, 2002). Finally, Figure 2.3 demonstrates that the Cr concentration in the brain also increases during normal aging, at a rate of approximately 2.5% per decade in the white matter (WM) (Christiansen *et al.*, 1993b; Chang *et al.*, 1996; Pfefferbaum *et al.*, 1999; Suhy *et al.*, 2000).

In summary, metabolite ratios provide robust in vivo markers of biochemistry. However, metabolite ratios have to be interpreted with caution since it is



**Fig. 2.3** Dependence of the total Cr concentration [Cr] in the healthy brain on age. The [Cr] in the WM increases by approximately 2.5% per decade throughout the adult life. Consequently, it is incorrect to assume that [Cr] is constant when interpreting metabolite ratios. For instance, the NAA/Cr ratio in a 65-year-old subject would be 10% lower relative to a 25-year-old subject as a result of the changes in [Cr], even without changes in the NAA concentration.

generally incorrect to assume that the concentration of the reference metabolite is unchanged across subjects and disease conditions.

#### Use of spectrum from control region as a reference

One clinically useful method to assess metabolite levels may be to express metabolite levels in a region of interest (ROI) relative to those in another region, for instance, a contralateral region (cf. Figure 2.1). This may be particularly useful for studies of focal abnormalities, and is commonly employed with chemical shift imaging (CSI). However, this approach provides little value in the evaluation of diseases that have a diffuse or global spatial distribution.

#### Use of water as a reference signal

To resolve the ambiguities associated with the use of metabolite ratios, the water signal from brain parenchyma is commonly used as a reference to determine the scaling factor  $\beta$  (Barker *et al.*, 1993; Christiansen *et al.*, 1993a; Ernst *et al.*, 1993). Since the water content in a unit volume of brain tissue is almost a constant, the water signal is a good internal reference for measuring metabolite concentrations. Since the

water concentration in tissues are known accurately, the signal strength of the water signal can then be used to determine the scaling factor  $\beta$  for each subject and study, according to Eq. (2.1). The concentration of pure water is approximately 55 M, and since there are 2 protons per water molecule, the proton concentration is 110 M. In brain, the water content varies from 70% to 80% for WM and gray matter (GM), respectively, and therefore the proton concentration in brain is typically in the range of 77–88 M. Furthermore, because the water and metabolite signals are acquired from an identical VOI, and with the same pulse sequence and flip angles, many potential error sources are eliminated and the metabolite concentration measurement becomes relatively robust. An added benefit is that the time to acquire the water signal is negligible, and that it requires no substantial modifications to the MRS sequence.

One of the potential drawbacks of this approach is that the water signal is invariably acquired at an TE greater than zero, typically  $>20$  ms. As a result, the water signal always has some degree of “ $T_2$  weighting”, and changes in the transverse relaxation time of tissue water ( $T_2$ ) may lead to erroneous changes in the apparent water signal amplitude, and thus the scaling factor  $\beta$ . Despite this drawback, the robustness of using a single unsuppressed water FID as a reference signal has been demonstrated in a multi-site study that involved identical MR machines; typical variations in metabolite concentrations were approximately 15% (Soher *et al.*, 1996).

However, the use of the water signal as a concentration reference is more complex as it may appear. Relatively large size of MRS voxels (typically  $\text{cm}^3$ ) makes it likely that each voxel contains a mixture of several compartments. For instance, a typical MRS voxel in the human brain may contain GM, WM, as well as CSF; cf. basal-ganglia voxel in Figure 2.4. Each of these macroscopic compartments may contain a different concentration of each metabolite. This effect is particularly pronounced for CSF, which has markedly lower concentrations of the major brain metabolites (NAA, Cr, Cho, and ml) than brain tissue. As a result, significant amounts of CSF in a given MRS voxel may lead to an apparent reduction in metabolite concentrations, even if the